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## Forewords Message from the President



This is the first Annual Report under my presidency. It has been an exciting year, yet also an unusual one. Unusual in the sense that after 15 years, Eva Egron-Polak decided to step down as Secretary General. After an extensive international recruitment process, I had the pleasure to appoint Dr. Hilligje van't Land, as the new Secretary General starting 1 September 2017. I would like to once again express my thanks to Eva and to welcome Hilligje in this new capacity.

As you will see in the report the activities of the Association are numerous. Before turning to the highlights, I would like to underline the large amount of regular work being undertaken at the Secretariat in Paris such as the publication of Higher Education Policy (HEP), the IAU Horizons magazine, continuous updating of the World Higher Education Database (WHED), the bibliographic database HEDBIB, the Sustainable Development portal, and the coordination of the leading Globally Engaged Universities programme (LGEU) among others. These are activities at the heart of IAU appreciated by Members and beyond.

In November 2016 the $15^{\text {th }}$ General Conference in Bangkok was held with great success. A new Board was elected and so was I as president - a role that I am very pleased to take on and I hope that I will live up to your expectations. "Higher education: a catalyst for innovative and sustainable societies" was the theme of the conference and which allowed to showcase the important role of higher education in the pursuit of the Sustainable Development Goals (SDGs).

This year IAU published a report based on the survey on Higher Education and Research for

Sustainable Development which aims to inspire higher education leaders to include sustainable development in the strategic plans for the further development of their universities. The survey showed that some 80 percent of respondents were aware of the SDGs. We hope to soon reach 100 percent and to motivate HEIs to address the SDGs when developing their institutional strategies.

The questionnaire for the $5^{\text {th }}$ Global survey on internationalization of higher education has been developed and is about to be launched. It has received great interest from several organizations who have concluded partnership with IAU for the development and dissemination of the survey. The work of IAU in this area has gained considerable recognition over time and continues to be a key priority for the Association.

IAU's electronic publications, IAU lynx and the IAU highlights from the press have been revamped and the new website has just been launched. I hope that it will be more informative, provide an enhanced user experience and increase the visibility of IAU.

Finally I wish to underline that it is essential that higher education is recognized as a key player for the future of the planet and this is not always the case. The fundamental values of higher education such as academic freedom and institutional autonomy are threatened and questioned in too many parts of the world. We have to stand together in order to achieve the important vision and mission of IAU.

I wish to end by thanking all Members for their support and engagement in various activities and the staff at the Secretariat for their professional dedication under the leadership of the Secretary General.


## Pam Fredman

IAU President (2016-2020) and former Rector, Gothenburg University


# Message from Secretary General 



I am very pleased to address you in my new capacity as IAU Secretary General. After many years with the IAU Secretariat, I was grateful to take on this new role on 1 September 2017 and to be given the opportunity to continue to work with the President, Board and Secretariat to pursue what I believe in, namely building a worldwide higher education community based on exchange and collaboration.

As I started only one month before the end of the financial year under review, it is impossible for me to look back without extending a special thanks to Eva Egron-Polak - now former Secretary General - who led the Association, not only for the majority of the reporting period, but more so for the past 15 years. I thank her wholeheartedly for her leadership and dedication and I am honoured to take over from her and to build on the important foundations upon which the IAU now is built. I am pleased to report that she has accepted to remain engaged in the work of the Association as IAU Senior Fellow, especially in the field of higher education internationalization.

The year 2017 has not been marked solely by the change of leadership at the level of the secretariat. At the beginning of the year, IAU held its $15^{\text {th }}$ General Conference in Bangkok, Thailand. Not only was it an extremely successful thematic conference, with a rich array of plenary and parallel sessions on the topic Higher Education: A Catalyst for Innovative and Sustainable Societies, it was also the occasion for IAU Members to elect their President and Board who will serve the Association for four years, from 2016 to 2020.

The smooth transition in leadership at both levels, Board and Secretariat, has allowed us to continue the important activities of the Association. Beyond regular ones such as the updating of the World higher Education Database (WHED); the publications, Higher Education Policy and IAU Horizons, the monthly newsletters IAU Lynx and Highlights from the Press, IAU held a successful 2017 International Conference in Accra, Ghana, discussing Leadership for a Changing Public Private Higher Education Funding Landscape. Just after the Conference, IAU launched a brand new website, and has pursued several activities under the four thematic priorities defined in the strategic plan, namely: values-based leadership; internationalization; sustainable development and information and communication technologies (ICTs). I hope that you will enjoy reading more about the various activities in this report.

I thank all IAU Members for their active engagement and for their loyal support to the work of the association. Only with this support will the IAU be able to continue advocating for the fundamental values of higher education in a world where focus on financial returns on investment tend to overlook the significant importance of these fundamental values.

The Association was created as a forum for "... co-operation at the international level among the universities and similar institutions of higher education of all countries, as well as among organisations in the field of higher education generally, and to be an advocate for their concerns", as stipulated in the Constitution of 1950. After almost 70 years, this mandate is no less important today. It is with this in mind that I will work with the IAU President, Administrative Board, Secretariat, and especially the Members, to steer the Association into a future with room for dialogue, exchange and collaboration.


Hilligje van't Land
IAU Secretary General

## I. GOVERNANCE <br> IAU President and Administrative Board 2016-2020

Since the election of the IAU President and the members of the Administrative Board during the IAU $15^{\text {th }}$ General Conference in November 2016, the Board held its $83^{\text {rd }}$ meeting - and first meeting of the newly elected board - in April 2017 in Paris. The Executive Committee and the working groups were established in order to advise the Secretariat in the different priority areas, in line with the Strategic Plan 2016-2020. The $84^{\text {th }}$ meeting was held prior to the IAU 2017 International Conference in Accra, Ghana in October 2017 and allowed discussing the developments of the Association.

$84^{\text {th }}$ meeting of the IAU Administrative Board in Accra, Ghana (October 2017)

## New Secretary General at the IAU Secretariat in Paris

A major task for the President and the Board in the past year was the recruitment of the new Secretary General following Eva Egron-Polak's decision of to step down after 15 years in office. A Search Committee was established to oversee the process, review the numerous candidacies, select candidates and conduct the interviews, and finally announce that Hilligje van't Land who has worked for the IAU Secretariat for many years was appointed as Secretary General as of 1 September 2017.

The list of Board members is included in this report for ease of reference; the photos and biographical notes are available on the website for more detailed information.

## IAU Administrative Board

## 2016-2020

## PRESIDENT

Pam Fredman, Former Rector, University of Gothenburg, Sweden

## IMMEDIATE PAST PRESIDENT

Dzulkifli Abdul Razak, Former
Vice-Chancellor University Sains
Malaysia and Albukhary International University, Malaysia

## MEMBERS

## Africa

Abdulganiyu Ambali, Vice-Chancellor, University of Ilorin, Nigeria

Ebenezer Oduro Owusu, Vice-Chancellor, University of Ghana, Ghana

Paul Zeleza, Vice-Chancellor, United States International University-Africa, Kenya

## Americas

Stephen Freedman, Provost, Fordham
University, USA
Marta Losada Falk, President, Antonio Nariño University, Colombia

Salvador Vega y León, Former Rector, Autonomous Metropolitan University, Mexico

Asia \& Pacific
Salim Daccache s.j., Rector, University of St Joseph Beirut, Lebanon

Etsuko Katsu, Former Vice-President, International, Meiji University, Japan

Carmen Lamagna, Vice-Chancellor, American International University, Bangladesh

Pornchai Mongkhonvanit, President, Siam University, Thailand

Mahmoud Nili Ahmadabdi, President, University of Tehran, Iran
Ranbir Singh, Vice-Chancellor, National Law University, India

Europe
Andrew Deeks, President, University College Dublin, Ireland

Maria de Fatima Marinho,
Vice-Rector for Cooperation and Culture, University of Porto, Portugal

Remus Pricopie, Rector, National School of Political and Administrative Studies, Romania


83rd meeting of the IAU Administrative Board in Paris, France (April 2017).

Daniel Hernández Ruipérez, Former Rector, University of Salamanca, Spain

Godehard Ruppert, President, Otto-Friedrich-Universität Bamberg, Germany

Inga Žalėnienė, Vice-Rector for
Education and Research, Mykolas Romeris University, Lithuania

## ORGANIZATIONS

NATIONAL: Zoltan Dubéczi, SecretaryGeneral, MRK (Hungarian Rectors Conference), Hungary
REGIONAL: Roberto Escalante Semerena, Secretary-General, Unión de Universidades de América Latina y el Caribe, Mexico (UDUAL)

## SECRETARY GENERAL

Hilligje van't Land, IAU, International Universities Bureau

## DEPUTY MEMBERS

## Americas

Mirta Martin, Former President, Fort Hays State University, USA

Pierre-André Pierre, Rector, University Notre Dame d'Haiti, Haiti

Asia \& Pacific
Mosleh Duhoky, President, University of Duhok, Iraq

Hasan Sohaib Murad, Rector, University of Management and Technology (UMT), Pakistan

Mohammad Reza Pourmohammadi,
Chancellor, The University of Tabriz, Iran

## Europe

Constantinos Christofides, Rector,
University of Cyprus, Cyprus
Henrik Dam, Rector, University of Southern Denmark, Denmark

Oleg Smeshko, Rector, Saint-Petersburg University of Management Technologies and Economics, Russian Federation

## ORGANIZATIONS

REGIONAL: Sultan Abu Orabi,
Secretary-General, Association of Arab Universities, Jordan

## HONORARY PRESIDENTS

Guillermo Soberon (President 1980-
1985), Former Rector, National Autonomous University of Mexico, Mexico

Blagovest Sendov (Acting President 1984), Former Rector, University of Sofia, Bulgaria

Justin Thorens (President 19851990), Former Rector, Université de Genève,Switzerland

Hans Van Ginkel (President 20002004), Former Rector, Utrecht University, Netherlands; Former Rector, United Nations University, Japan
Goolam Mohamedbhai (President 20042008), Former Secretary-General, Association of African Universities (AAU)
Juan Ramón de la Fuente (President 2008-2012), Former Rector, National Autonomous University of Mexico, Mexico

## II. IAU EVENTS IAU 2017 International Conference

(October 2017, Accra, Ghana)


Photos from the IAU 2017 International Conference in Accra, Ghana (Oct. 2017)

Hosted by the University of Ghana, the 2017
International Conference took place in Accra, Ghana from 18-20 October 2017 and was devoted to the theme: Leadership for a changing public private higher education landscape.

Welcoming 170 participants from 45 countries from different regions of the world, the IAU was honoured by the commitment shown for the Conference by H. E. Nana Addo Dankwa AfukoAddo, President of Ghana, who was represented by the Honourable Dr. Matthew Opoku-Prempeh, Minister of Education and Prof. Kwesi Yankah, Minister of State for Tertiary Education at the Opening Ceremony of the Conference. In the keynote delivered on behalf of the president, Prof. Yankah underlined the importance of the dialogue that the IAU as an international association is facilitating among universities around the world, regardless of their size and differences.


The conference furthermore included a variety of interesting plenary presentations from different parts of the world on the role and expectations of higher education leaders; the ethical challenges for a transforming world and the institutional and societal expectations of higher education leadership. Through a series of 9 breakout sessions participants were able to discuss and share experiences around more specialized topics such as the impact on governance models of the new funding realities; the role of corruption and importance of academic integrity; the impact of competition including ranking and other market forces on the development of higher education institutions and nonetheless the perspective of the students both in terms of demands and expectations.

## $7^{\text {th }}$ edition of the Global Meeting of Associations (GMA)

Organized every two years, the Global Meeting of Associations was this year organized in conjunction with the International Conference rather than as a standalone event. This allowed the participants of this meeting to also take part in the International Conference.

The GMA was organized in collaboration with the Association of African Universities (AAU) and hosted by the University of Ghana and it was devoted to the same theme as the conference while focusing on the implications of university associations. The meeting welcomed 70 representatives from more than 25 Associations and networks around the world. The theme was framed by a keynote presentation by the former Vice-Chancellor of the University of Ghana and former IAU Board member, Prof. Ernest Aryeetey in his capacity as Secretary General of the African Research Universities Alliance (ARUA).

The following day, a plenary panel addressed the topic with different regional perspectives and traditions of higher education funding. The meeting was organized around a series of group discussion to favour exchange and networking among the participants. The format as well as the fact that it was organized in conjunction with the International Conference were highly appreciated by the participants and it has therefore been decided to continue organizing the GMA in conjunction with the Conference. The next meeting will take place in 2019 in Puebla, Mexico.
$\rightarrow$ The programme and the presentations are available on the Conference website:
https://www.etouches.com/iau2017


Photos from the Global Meeting of Associations (GMA), Accra, Ghana (Oct. 2017)

## IAU

## $15^{\text {th }}$ General Conference (November 2016, Bangkok, Thailand)


#### Abstract

The fiscal year of the IAU starts on 1 October and the IAU $15^{\text {th }}$ General Conference took place in the beginning of the year covered in this report. This event was a great success and welcomed higher education leaders from more than 80 countries around the world.


While addressing the various aspects of the theme of the conference: "Higher education: a catalyst for innovative and sustainable societies" the General Conference, as the supreme decision-making body of the Association also successfully elected the new President, Pam Fredman and the members of the Administrative Board to serve for the period 2016-2020. The conference was hosted and organized by a consortium of 4 Thai universities who share the following message regarding their role as Conference hosts:

## Message from

## the General Conference hosts in Thailand

It was a great honour to organize an event for 400 presidents and leaders of universities worldwide in Thailand to discuss how universities can work together to respond to the needs for both innovation and sustainability, and become a true catalysts for change towards sustainable societies.

The IAU General Conference taking place in Thailand and Southeast Asia for the first time, marked the celebration of one hundred years of higher education in Thailand. It was hosted by a consortium of 4 Thai Universities working together smoothly as one: Chulalongkorn University provided the main venue for the Opening and Academic Conference. Siam University was responsible for the venue for the IAU Board Meeting, registration, Spouse Programs, finance and general Coordination. Suranaree University of Technology and Asian Institute of Technology were responsible for Publications on ASEAN Educational Systems, Exhibition and Protocol.

Even while Thai People were mourning the great loss our father of the kingdom, King Bhumibol Adulyadej (Rama IX), who passed away just one month before the conference, Her Royal Highness Princess Maha Chakri Sirindhorn showed her strong commitment to Higher Education by graciously opening the IAU $15^{\text {th }}$ General Conference. During this ceremony the keynote was delivered by Professor Emeritus Dr. Charas Suwanwela, the first Thai University President to have served the IAU Administrative Board.

On behalf of the 4 host institutions, we would like to thank for the trust, honour and confidence given upon us as well as continuous support and coordination from the IAU secretariat office which contributed to the success of this conference, ensuring the participation excellent speakers which fostered lively debates and discussions among the participants who enjoyed the social events organised to complement the academic programme and offer a flavour of true Thai culture. We pledge to do our best in working together with IAU towards the transformation of higher education to become an effective catalyst for innovative and sustainable societies.


Bundhit Eua-arporn
President, Chulalongkorn University


Pornchai Mongkhonvanit
President, Siam University

## III. PRIORITY THEMES (990) Values-based Leadership

In 2017, IAU developed new initiatives and strengthened its activities related to values-based leadership.

## FOSTERING PEER-TO-PEER LEARNING AND CAPACITY BUILDING



IAU conducted two editions of its professional development program Leading Globally Engaged Universities (LGEU) for higher education representatives in senior leadership positions. This year sessions took place in Colombia in December 2016 and in Botswana in May 2017. The participants who have attended the past four sessions recognize the workshop as a quality international leadership program. At the issue of 5 days spent together in a rich co-learning environment, they reported that two of the major outcomes of LGEU are the collaboration agreements and further networking.


LGEU in Colombia in December 2016

Grants were provided by the Swedish International Development Cooperation Agency (Sida) in support of participants from developing countries and funding was generously provided by the University of Ilorin in support of participants from Haiti. Hosted by IAU Members, the program will be hosted next by McMaster University, Canada in May 2018.


## CONDUCTING A NEW RESEARCH INITIATIVE: MAPPING HIGHER EDUCATION LEADERSHIP PROGRAMS WORLDWIDE



THE WORLD BANK
IBRD - IDA I WORLD BANK GROUP

IAU was commissioned by the World Bank to undertake a mapping of professional development training programs available around the world for higher education leaders at different levels of the institution.

The rationale for this study came from various observations of the current higher education landscape. The number of higher education institutions is growing globally, depending on national or regional contexts, and the nature of institutions is diversifying. Their role in society is continuously questioned and the demands placed on these institutions are expanding. It is thus not surprising that the leadership of such institutions becomes more complex and that the development of leadership capacity becomes a priority. In response to these complex realities, there is a growing number of training programs offered to strengthen higher education leadership. Yet, there is no single source of information about what is on offer, nor have there been many studies to look at the impact of such trainings. Offering the LGEU program since 2015, the Association agreed to investigate what is available around the world and produce an initial mapping of programs.

The aim of this exercise was to identify and briefly describe programs on offer and discover gaps in terms of geographic coverage, type of program offered, who is being targeted, etc. IAU has issued an analytical report presenting the rationale, methodology and results of the study.

The list of all 78 identified programs is available in an online directory (a searchable excel file), which allows sorting the programs according to six topics as follows: target, audience, duration, delivery mode, location, tuition fee and credential.


Internationalization constitutes one of IAU's priorities in the strategic plan 2016-2020. Many activities took place in continuation of the previous year, especially the ISAS (2.0) programme. IAU also started the preparation of the $5^{\text {th }}$ edition of the Global Survey on Internationalization of Higher Education and participated in relevant conferences and meetings worldwide.

## INTERNATIONALIZATION STRATEGIES ADVISORY SERVICE (ISAS (2.0))

"Achieving Comprehensive Internationalization" at Cardiff Metropolitan University
INTERNATIONALIZATION
STRATEGIES AOVISORY SERVICE

Cardiff Metropolitan University is the first institution that will be awarded the ISAS (2.0) "Comprehensive Internationalization" Badge. This decision was taken on the basis of the positive opinion expressed by the Expert Panel at the issue of the review visit to the institution, on 9-11 October 2017. The Expert Panel was chaired by Dr. Madeleine Green, IAU Senior Fellow and included Tim Gore, Chief Executive Officer, University of London Institute in Paris, Eva EgronPolak, IAU Senior Fellow and Giorgio Marinoni, IAU Manager, HE and Internationalization Policy and Projects. The Expert Panel provided the institution with a final report underlying the accomplishment achieved and suggesting improvements for the future. The badge will be officially awarded to the institution during the 2018 IAU international conference, in Malaysia.

## Two new ISAS (2.0) initiated

A Memorandum of Understanding between IAU and Shigakkan University in Ōbu, Japan, was signed in January 2017 and a site visit to launch a "Planning and Strategy" ISAS (2.0) was conducted by Eva Egron-Polak, IAU Secretary-General and Panel Chair in February 2017. The site visit by the Expert Panel, will take place at the end of November 2017.

IAU also signed a Memorandum of Understanding with KIIT University in Bhubaneshwar, India for "Planning and Strategy" ISAS (2.0) during the launching site visit undertaken by Eva Egron-Polak in March 2017. The site visit will take place in January 2018.


ISAS (2.0) at Cardiff Metropolitan University, October 2017.

## $5^{\text {th }}$ EDITION OF THE IAU GLOBAL SURVEY ON INTERNATIONALIZATION OF HIGHER EDUCATION

IAU started the preparation of the $5^{\text {th }}$ edition of the Global Survey on Internationalization of Higher Education and received support from different organizations around the world, including UNESCO, DAAD, NAFSA, ARES and AUF which contributed financially to this project.

An Advisory Committee of international experts on internationalization was formed. It reviewed and redesigned the questionnaire to be used to collect data from HEIs. The questionnaire was tested by a pilot group of institutions and will be finalized by the end of the year 2017. The Global Survey has become a renowned source of global and regional insights on institutional trends and perceptions about internationalization. IAU plans to collect data in 2018 and publish the $5^{\text {th }}$ edition in early 2019 in English and French.

## IAU APPOINTED AS COORDINATOR OF NIEA

IAU was appointed as coordinator of the Network of International Education Associations (NIEA) at the meeting held at the European Association for International Education (EAIE) conference in Seville (September 2017). NIEA brings together non-profit, non-governmental associations which main stated purpose is to advance international higher education. NIEA members meet twice a year: in spring during a conference in the Global South and in autumn in Europe at the EAIE conference.

## REPORT ON THE IAU GLOBAL SURVEY ON HESD



In 2016, IAU developed a global survey on higher education and research for sustainable development to better understand what higher education institutions know about the global agendas and the SDGs. Institutions were asked what they perceive their contribution to be and what their future contributions could be; and to what extent the higher education community is ready to adopt and adapt sustainable development principles throughout the institution. Higher education institutions from all continents took part in the survey, 120 in all. A vast majority of responses came from IAU members ( $97 \%$ ), and almost $18 \%$ of IAU members took part.

The results of the survey were published in September 2017 in the report entitled: Higher education paving the way to sustainable development: a global perspective. A common theme with responses was the fact that a whole-institution approach is key to embedding sustainable development in all institutional activities. The report shows that the concept is not unfamiliar to HEIs. In the survey, $45 \%$ declare that their HEI adopted such an approach. Sustainability initiatives and plans are mainly being developed in faculties or departments, sustainability centres or within student organizations. Developing a wholeinstitution approach would require all stakeholders to work in closer cooperation internally. Furthermore, the results highlight that $70 \%$ of the HEIs see the importance of collaborating with other institutions on sustainable development issues and

How much do you know about?
Answered: 88 Skipped: 32

are involved with others. They are also involved in several HESD networks. Other key results from the survey included respondents' familiarity with different sustainability initiatives, what sustainable development means for their institution, and whether or not they had adopted a sustainable development strategy at their institution. The full report is available free of charge on the IAU website.

## IAU GLOBAL HESD PORTAL

The Global HESD Portal is a tool developed by the IAU in tandem with the UN's Decade of Education for Sustainable Development. Its aim is to showcase what is being done by higher education institutions (HEIs) to achieve sustainable development, to serve as a platform of best practice examples to be used and adapted, and to connect leaders, academics, students and other practitioners to scale up action. The IAU HESD portal is a tool accessible to all and it features more than 350 initiatives and counts some 1000 monthly visitors. During the past year, the IAU HESD portal was updated and it now integrates the Sustainable Development Goals (SDGs) in all the content published. This upgrade allows to even better showcase higher education's contributions to the 2030 Agenda for sustainable development.

$\oplus$ www.iau-hesd.net


UNESCO Week for Peace and Sustainable Development (March 2017)
(C)finnsquare.com

## UNESCO GLOBAL ACTION PROGRAMME ON EDUCATION FOR SUSTAINABLE DEVELOPMENT (GAP-ESD)

The results of the 2016 IAU Higher Education and Research for Sustainable Development (HESD) global survey were presented during a webinar organized in the context of UNESCO Global Action Programme on ESD (GAP), in February. IAU then coorganized a debate on Transforming learning Environments - what works best? during the UNESCO Week for Peace and Sustainable Development: The Role of Education (Ottawa, March 2017). In September, IAU renewed its commitment to the GAP Partner Network for the coming two years (Action Area 2: Transforming Learning and Training Environments).

## 2016-2017 PRIZES IN HIGHER EDUCATION POLICY RESEARCH

In partnership with Palgrave Macmillan Ltd., publisher of the Association's journal Higher Education Policy, the IAU and COPERNICUS Alliance launched two 2016-2017 Prizes in Higher Education Policy Research. The aim is to promote research in the field of higher education policy by recognizing outstanding work on the theme: Higher Education and Research for
Sustainable Development: A New Academic Discipline? Numerous papers were submitted and will be reviewed by the end of 2018.

## IAU JURY MEMBER OF THE 2016 <br> FRANCOPHONE \& INTERNATIONAL EDITIONS OF THE GREEN GOWN AWARDS

Green Gown Awards. The winners were announced during the Environmental Association for Universities and Colleges (EAUC) annual conference at Lancaster University in March 2017.

## UNITED NATIONS GENERAL ASSEMBLY

The Former President of the United Nations General Assembly, H.E. Mr. Peter Thomson, reached out to the IAU to jointly call on leaders of all higher education institutions to embrace the SDGs and embed them in their institutional strategy. IAU disseminated his letter to all IAU Members in June 2017.

Read the letter (http://www.iau-aiu.net/sites/all/files/ Letter_UN_2017.pdf)

## REPRESENTATION

Throughout the year, the IAU took part in various events to promote HESD and present IAU's work in this field including the University Alliance for Sustainability Spring Campus (Berlin, March 2017), the GUNI International conference on SDGs (Barcelona, September 2017), and the EAIE Conference where possible links between the internationalisation and SDG agendas were explored (Seville, September 2017).

IAU represented by its Secretary General, sits on committee and networks, including the Administrative Board of SULITEST and contributes to its strategic development, and of UVED (Université Virtuelle et d'éducation à distance) and supports the development of a MOOC on HESD; IAU is a Member of the Global Alliance, contributes to SDSN and lately the development of a MOOC on PRIMA; and supports the Higher Education Sustainability initiative (HESI).

As well IAU is a partner organisation involved in the EU funded project HEIRRI: higher education and responsible research and innovation.

## ROCKEFELLER FOUNDATION COLLABORATION ON OPPORTUNITIES TO WORK ON KEY SOCIETAL ISSUES

IAU assisted the Rockefeller Foundation in the development of its global outreach strategy for the Bellagio Center Academic Writing Residency Programme, thus also bringing the unique opportunity offered to researchers to take part in this programme to the attention of the IAU global higher education community.

In October 2016, IAU took part in the jury of the Frenchspeaking edition of the Green Gown Awards (organized by Campus responsables) which recognizes exceptional higher education sustainability initiatives. Ten institutions were recognized for their commitment to sustainability. IAU was then invited to also take part in the 2016 jury of the International

# ( - information and Communication Technologies (ICTs) 

The Strategic plan which was approved at the $15^{\text {th }}$ General Conference in Bangkok includes four thematic priorities Information and Communications Technologies (ICTs) is a new priority for the Association and the past year has served to develop an action plan and related set of activities to be pursued in this area. IAU is pleased to report that the action plan was approved at the recent Administrative Board meeting in Accra, Ghana.

As a global membership organization, IAU will focus its action around the following functions:

## © Advocacy

This is a core function of the Association and particularly in this area. The main activities will be to review and revise the 2004 statement entitled: Universities and Information and Communication Technologies in order to adapt it to the new context and developments. A drafting group will be set up for the coordination of this revision and to oversee a stakeholder consultation. The Association continues to advocate that the potential of technology and ICTs should be accessible for all.

## Monitoring trends

Through its global network the Association will monitor trends in this area with a view to compare the current challenges encountered and opportunities arising around the world related to the rapid developments of technology. A survey will be launched as a stocktaking exercise and will also serve to inform the drafting of the statement on ICTs.

## D Catalyst for exchange

IAU has a unique capacity to bring together Members and foster exchange of information and experience and will pursue this through a series of institutional site visits at higher education institution which are particularly advanced or innovative in their use of technology to improve the quality of higher education or access to higher education.

## © Knowledge sharing

The IAU will also examine the possibility of developing a platform to allow IAU members to share their experiences, challenges and best practices with a view to foster collaboration and exchange of information.



## IV. IAU KNOWLEDGE HUB

## New IAU website

A major achievement of the past year is the development of the new IAU website which was officially launched on 20 November 2017. The new website showcases more efficiently IAU strategic priorities, activities, publications and events. In addition to the enhanced user experience, it also provides more visibility of its Members and their activities.

This is part of a larger initiative to improve communications and to enhance the Association's presence on social media. Please make sure to follow IAU on Twitter and Linked-In.
@IAU_AIU
(in IAU-AIU


## Publications

## Higher Education Policy (HEP)

The aim of the Association's quarterly, peer-reviewed research publication,
 Higher Education Policy (HEP), is to advance scholarly understanding of the policy processes applied to higher education. Articles offer original analyses, both theoretical and practice-based, the focus of which may range from case studies of developments in individual institutions to policy making at systems and at national level.

Over the past year four issues were released, two of which were thematic. The year also saw the journal transition to a new online submission system, Editorial Manager, hosted by Springer Nature.

At the end of 2016, IAU launched a Prize Essay competition in collaboration with Palgrave Macmillan and the COPERNICUS Alliance to reward two papers. The topic retained for the prize was Higher Education and Research for Sustainable Development: A New Academic Discipline? The winners will be announced in 2018.
(1) HEP 29/4 - December 2016: Thematic issue entitled Measuring Up: Consequences of Global Competition and Metrics on Local Scholarship
(1) HEP 30/1 - March 2017: Thematic issue entitled Critical and Alternative Perspectives on Student Engagement

D HEP 30/2 - June 2017
© HEP 30/3 - September 2017

## Internationalization of Higher Education Handbook



IAU continues to act as the Chair of the Editorial Board for the publication Internationalisation of Higher Education Handbook.
IAU Members benefit from a substantial discount on subscriptions to the hard copy and online versions. Published three times a year and including articles from all over the world, the Handbook offers practical articles of interest to anyone engaged in the internationalization of higher education.

The journal takes stock of recent developments, report on examples of best-practices worldwide, and provide hands-on advice designed to facilitate the successful internationalisation of higher education institutions.

## IAU Horizons

The magazine IAU Horizons provides its readers with reports on IAU activities and special projects; information on IAU publications and details of other new publications received at IAU and catalogued in HEDBIB; a profile of IAU's participation in international conferences and meetings; and a Global Calendar of Events, amongst other sections.

Each issue includes a special 'In-Focus' section, where high profile academics from across the world present their views, analysis, projects, and research on a particular topic of key importance to higher education. In the past year the following topics were covered:Leadership for a changing public private higher education funding landscape (Vol.21, no.4)Corruption in Higher Education (Vol. 22, n.1)

## International Bibliographic Database on Higher Education (HEDBIB)

HEDBIB
international Bibliographic Database on Higher Education


## HEDBIB

International Bibliographic Database on Higher Education
http://hedbib.iau-aiu.net


With over 40,500 records, the International Bibliographic Database on Higher Education HEDBIB, contains up to date information on publications on higher education systems, planning, policy, administration and evaluation. Links to full text electronic publications are provided where available. IAU Members benefit from HEDBIB's advanced access options: access to abstracts and to full-text articles of Higher Education Policy, the IAU's quarterly research journal, and access to tailormade bibliographies on demand. IAU Members also receive 'New in HEDBIB' five times a year. This publication contains full references of newly entered publications in HEDBIB. References are structured according to the IAU priority themes and by region. A basic search function is made available to all free of charge.

IAU continues to partner with UNESCO and the Institute for Educational Planning (IIEP) for populating the database. Contributing partners also include the Catalan Association of Public Universities (ACUP), Union de Universidades de América Latina (UDUAL) and Universities South Africa.
http://hedbib.iau-aiu.net

## IAU Lynx

IAU's monthly electronic newsletter was relaunched in February 2017 in a new modernized format, and with a new name, IAU Lynx. Produced by a team within the IAU Information Centre, IAU Lynx is a gateway to news and developments on higher education throughout the world as well as news on IAU's latest activities and publications. IAU Lynx is reports on international, regional, national and bilateral initiatives, respectively. Each item links through to the original source. Information on upcoming IAU Member Organizations' and Partners' conferences, opportunities and calls are also announced. IAU Lynx is sent to IAU Members and is also accessible, free of charge, to those interested in higher education trends and changes, via the IAU website and through online subscription.

## IAU Highlights from the Press



## IAU Highlights from the Press

What is the international press reporting about higher education? Designed to assist leaders of IAU Member Institutions and Organisations in keeping track of higher education trends worldwide, IAU Highlights from the Press, produced monthly, provides an overview of articles on higher education as they appeared in the international and local press during the previous month. Online media from around the world are continuously monitored and each month articles are selected which reflect their prominence in the media and their relevance to IAU priority themes. Articles are in English or in French and a link to the original article is provided. IAU Highlights from the Press is sent by e-mail to IAU Members each month.

## World Higher Education Database (WHED)

The IAU WHED Portal (www.whed.net) is the International Association of Universities' (IAU) unique online reference tool that provides comprehensive and detailed information on Higher Education Systems, Degrees and Institutions around the world.

The Portal offers data on Higher Education Systems and Credentials in 186 countries using information made available by the national competent bodies in each country:Description of the pre-higher and higher education systemNational bodies responsible for higher education and contact details
(Dype of Higher Education Institutions
(1) Admission criteria, including information for foreign students
(Description of main credentials
The Portal contains information on more than 18,500 higher education institutions offering at least a 4 -year professional diploma or a post-graduate degree and which are recognized by their national competent bodies. Institutional data comprises:Contact details for every institutionHistorical backgroundList of academic divisions and study areasDegrees and diplomas offered at each level of studyKey personnel, including principal academic and administrative officers.

## Data is continuously updated

In partnership with UNESCO, the IAU secretariat receives and updates information in the WHED on an ongoing basis focusing each year on one specific region. The update on Africa has just been completed and the team is currently updating information on systems and institutions in Asia, Oceania and the Middle East.


Educational, Scientific and Cultural Organization

## IAU Members benefit from:

(1) Comprehensive access to data

D Access to email addresses and cross-criteria searches
(-) Increased visibility including the possibility to photo
© Discount on WHED products (ex. International Handbook of Universities)

## New Developments:



The information from the WHED is used to publish the annual threevolume International Handbook of Universities (IHU). Published by Palgrave Macmillan, the $28^{\text {th }}$ edition was released in September 2016. The Handbook will also be transformed into an e-publication as of 2018 and more information will soon be available.

## MONTHLY TRAFFIC

$1,224,000{ }_{\text {views }}$
214,000 sessions
92,000 visitors who intitated at
least one session
$4.0,6 \%$ of visitors are new and
$59,4 \%$ are returning visitors

More than
546,000 queries on
Education credentials and
Institutions during 6 months:NUMBER OF QUERIES ON
EDUCATION SYSTEMS AND
CREDENHTALS
$5,100_{\text {queries }}$
849
queries per month

## NUMBER OF QUERIES ON <br> INSTITUTIONS

665,000 consultations on institutions
541,000 queres
90,018 queries per month and 2,932 queries per day

## FOCUS ON AFRICA

This year the WHED team updated information about the higher education institutions and systems in Africa. The WHED contains information about 55 countries and the number of higher education institutions per country is listed below.


## WHED BY REGION:

|  | $N^{\circ}$ of countries | $N^{\circ}$ of higher education institutions |
| :--- | :---: | :---: |
| Africa | 55 | 1695 |
| Asia and Oceania | 51 | 6116 |
| Europe | 50 | 4108 |
| Latin America and the Caribbean | 33 | 2249 |
| North America | 3 | 3817 |

## V．PARTNERSHIP

Beyond its Members，IAU collaborates with a wide variety of partners at the governmental as well as non－governmental level．IAU thanks all its partners for the commitment and engagement during the year that has passed and looks forward to continuing the collaboration．

INTERGOVERNMENTAL ORGANISATIONS


INTERNATIONAL AND NATIONAL PARTNERS

| ACVP | ハRニS | AUf | COPERNICUS |
| :---: | :---: | :---: | :---: |
| $\triangle A^{\prime} A D$ | $\stackrel{\text { DUZ }}{\text { medienhaus }}$ | $E / A I E$ | 8）GAPS |
|  | BSfratory | NAFSA | obiret |
| palgrave <br> macmillan | Rockefelifr FOUNDATION | 纸 Sida | Sulitest |
| （60）multirank | UVED |  |  |

## VI. MEMBERSHIP

At the end of the year 2017 (30 September 2017), IAU counted more than 700 Members from some 120 countries. The geographic distribution of Member institutions as of October 1, 2016 is depicted in Figure 1 and the number of Members per category in Table 1.

## FIGURE 1 - GEOGRAPHICAL DISTRIBUTION OF IAU MEMBERS IN 2017



TABLE 1

| 2017 (AS OF 30 SEPT) | MEMBERS |
| :--- | :---: |
| INSTITUTIONS | 635 |
| ORGANIZATIONS | 33 |
| AFFILIATES | 19 |
| ASSOCIATES | 15 |

## VII. FINANCIAL REPORT 2017

## (1 October 2016-30 September 2017)

The below table presents the Financial Report for 2017 and, for ease of reference, the summary for 2016.

| INCOME | 2016 | 2017 |
| :--- | ---: | ---: |
| Membership dues | 1060 | 1160 |
| Contracts and Grants | 235 | 101 |
| Programme Activities and Conferences | 265 | 146 |
| Publications | 61 | 114 |
| Other income | 88 | 90 |
|  | $\mathbf{1 7 0 8}$ | $\mathbf{1 6 1 1}$ |
| EXPPNLDITURE INCOME | 2016 | $\mathbf{2 0 1 7}$ |
| Staff Cost (Salaries, Consultants and Social Charges) | 1152 | 1200 |
| Programme Activities and Conferences | 369 | 194 |
| Administrative Board and Committees | 50 | 50 |
| Administration and Office Costs | 126 | 163 |
| Other charges | TOTAL EXPENDITURE | $\mathbf{1 6 9 8}$ |
|  | FINANCIAL RESULT | 11 |

## Explanatory notes:

## INCOME

IAU income is generally composed of three main sources - annual membership fees, various grants and contracts for services rendered to external donors or organizations and sales of IAU publications. The Secretariat developed a number of initiatives and secured small contracts which allowed developing a few new activities and services.

In addition, IAU stepped up the development of fee paying services and initiatives such as Internationalization Strategies Advisory Service (ISAS) and the leading Globally Engaged Universities (LGEU) and other projects. It also increased its efforts to find sponsorships for its conferences and other events.

## EXPENDITURE

The Association's expenditures have been controlled tightly in order to retain balanced budgets and indeed, this has been possible by keeping personnel expenditures and all other administrative costs at a minimum.

## VIII. SECRETARIAT

The International Universities Bureau is the permanent Secretariat of the Association. The offices are housed at UNESCO Headquarters in Paris, France.


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IAU is pleased to welcome new colleagues who joined the IAU Secretariat during the year - Mélissa Herlaut and Mélanie Tatté, and thanks this year's interns, Natasha Michiko Yokoyama, Singapore, Abdul Rasyid Ghazali, Indonesia and Jorge Andrés Vásquez, Chile for their contribution to the work of the Association.


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