



INTERNATIONAL ASSOCIATION OF UNIVERSITIES

IAU Annual Report 2013

Founded in 1950 under the aegis of UNESCO, the International Association of Universities (IAU) is an international non-governmental organization. The permanent Secretariat of the Association is based in Paris, France.

IAU is a membership organization bringing together universities, institutions of higher education and national and regional associations of universities from around the world. It aims to promote debate, reflection and action on key issues in the field of higher education. The Association offers its Members and in general all higher education stakeholders (decision-makers, specialists, administrators, teachers, researchers and students) a global meeting forum and various services such as information (through the IAU/UNESCO Information Centre on Higher Education), research and analysis of latest developments in higher education (through surveys and different reference and scholarly publications), advocacy to promote the views of higher education institutions. In various ways, the IAU provides opportunities to build partnerships and networks among higher education institutions worldwide as well as with various international, regional and national bodies.

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MESSAGE FROM THE PRESIDENT



The start of a new presidency following the election of the 14th President and a new Administrative Board of the Association in November 2012 underpins the coverage of the 2013 Annual Report. I would like to begin by acknowledging the excellent work undertaken by the Secretariat under the leadership of the IAU Secretary-General, Eva Egron-Polak, who has led an extremely efficient team to deliver what you are about to discover in this Annual Report. This is more so given that many of the members of the Board are relatively new to the mandate of IAU. Notwithstanding this, collectively, the Board has exhibited high enthusiasm in performing the roles expected of them in guiding the Association.

This is very demonstrative during the inaugural Board meeting held in April 2013 in Manchester, where new and bold ideas were put forward with a deeper understanding that IAU needed to undergo some fundamental changes in tandem with the new challenges currently confronting higher education. There will be major adjustments that need to be made and IAU as a leading international association closely linked to UNESCO must take the lead in articulating some of these changes. We must continue to be relevant to our members and at the same time shape the future of higher education.

In that sense, I am particularly pleased with what has been achieved in the period covered by this Report in light of the enormous demands that is placed on IAU and its collective leadership. We have responded as well as we could under the circumstances which I believe has laid a good foundation for us to build on in the coming years. New strategic priorities will be set by the Board as it crafts a plan to move beyond the projects and activities reviewed in this Report so that IAU will continue to pave the way forward in keeping with its mission. Yet, IAU will not deviate from the fundamental values for which the association is well-known, namely, promoting access with success, cooperation, inclusiveness and equity as well as quality within an international framework with sustainability as its overarching goal.

These values are even tougher to sustain given the ever-widening disparities and divides following the economic and financial crisis globally taking its toll on the education system as a whole. It is against this background that this report should be read, and the performance of the IAU assessed. That said we welcome comments and feedbacks from Members and readers so that IAU can remain vigilant and sensitive to the views and expectations on the ground as it plan for an even more challenging year in 2014.

On that note I wish you well, and thank everyone who has contributed in one way or another to make 2013 a memorable year, in particular IAU Members and Partners for their keen support and initiatives in taking IAU to the next level.

Dzulkifli ABDUL RAZAK
IAU President, 2012 – 2016

MESSAGE FROM THE SECRETARY GENERAL



and improve quality, to be more accessible and relevant to the demands of the labour market, to address such global challenges of persistent poverty, climate change, insecurity and violence. In this environment of change, the IAU with its global scope, has had to make tough choices about the topics it can best address and how to do so most effectively within its human and financial resources.

As will be reported here, the major focus of our actions this past year has been on i) gathering new information and data on internationalization trends around the world, ii) creating a new information portal on Higher Education for Sustainable Development, iii) transforming the IAU World Higher Education Database (WHED) from an online service sold by IAU's commercial publisher to a service that IAU itself will offer and manage electronically, iv) initiating a new project to enable IAU Members to learn from one another about successful strategies to widen access and improve retention for marginalized groups of learners, v) maintaining and indeed expanding the IAU offer of information on higher education policy and research and vi) reinforcing management procedures within the Secretariat.

Underpinning these and other actions are two perennial preoccupations that IAU keeps uppermost in mind: ensuring that IAU existing and potential Members see the benefits of membership and demonstrating our commitment to working with partners, the most important one being UNESCO. Several initiative taken this year, including the 5th edition of the Global Meeting of Associations, have helped to consolidate cooperation with other membership organizations working in higher education such as the International

Council for Distance Education (ICDE), NAFSA, the EAIE, the Magna Charta Observatory but others as well, the European Union, the British Council, among others.

The pages of this report highlight the results of our work. The impact of each action is less easily demonstrated, though the steady growth in IAU Membership in tough economic times is certainly one manifestation of the relevance and utility of IAU's services.

I thank the IAU President and Board, our partners but I thank IAU Members most of all for their support and collaboration.

Eva EGRON-POLAK
Executive Director, International Universities Bureau,
Secretary General, IAU

GOVERNANCE

IAU ADMINISTRATIVE BOARD 2012 – 2016



Chaired by the IAU President, the Administrative Board is composed of twenty elected and two ex officio members as follows: eighteen executive heads of member institutions and two heads of member organizations, the immediate past president and the Secretary General. A number of deputy members, from different regions and both categories of members are also elected. The Administrative Board meets annually, ensures that decisions of the General Conference are implemented and guides the work of the International Universities Bureau. The term of tenure is four years. The IAU Administrative Board met in Salford, United Kingdom in April 2013. Working Groups and Committees were established, their Terms of Reference agreed-upon and their members are presented in this report.

President

Dzulkifli Abdul Razak,
Former Vice-Chancellor of Albukhary International University, Malaysia and Former Vice-Chancellor of Universiti Sains Malaysia (USM)

Immediate Past President

Juan Ramon de la Fuente
Former Rector, National Autonomous University of Mexico, Mexico

Africa

Olive Mugenda, IAU Vice-President
Vice-Chancellor, of Kenyatta University, Kenya

Ernest Aryeetey
Vice-Chancellor of the University of Ghana, Ghana

Hope C Sadza
Vice-Chancellor of the Women's University in Africa, Zimbabwe

Americas

Manuel J. Fernós, IAU Vice-President
President, of the Inter American University of Puerto Rico, Puerto Rico

Stephen Freedman
Provost of Fordham University, USA

Eon Nigel Harris
Vice-Chancellor of the University of the West Indies, Jamaica

Juan Tobias
Rector of the University of Salvador, Argentina

Asia & Pacific

Pornchai Mongkhonvanit, IAU Vice-President
President of Siam University, Thailand

Anna Ciccarelli
Former Deputy Vice-Chancellor and Vice-President of the University of Queensland, Australia

Jianhua Lin
President of Zhejiang University, China

Walid Moussa
President of Notre Dame University – Louaize, Lebanon

Khalid Omari
President of Jerash University, Jordan

Yutaka Tsujinaka
Executive Advisor to the President of the University of Tsukuba, Japan

Europe

Pam Fredman, IAU Vice-President
Vice-chancellor of the University of Gothenburg, Sweden

Sir Howard Newby
Vice-Chancellor of the University of Liverpool, United Kingdom

Patricia Pol
Policy advisor for European and international affairs, Université Paris-Est Créteil Val de Marne, France

Angelo Riccaboni
Rector of the University of Siena, Italy

Daniel Hernández Ruipérez
Rector of the University of Salamanca, Spain

National Organisation :

Marianne Granfelt
Secretary General of the Association of Swedish Higher Education (SUHF), Sweden

Regional Organization :

Etienne E. Ehile
Secretary-General of the Association of African Universities (AAU), Ghana

Secretary-General

Eva Egron-Polak
Executive Director, Ex-Officio, International Universities Bureau

Deputy Members

Sharon Siverts
Former Vice-Chancellor, National University of Lesotho, Lesotho (Africa)

Abdul Ambali
Vice-Chancellor, University of Ilorin, Nigeria (Africa)

Betsy Vogel Boze
President, The College of The Bahamas, The Bahamas (Americas)

Juan Remigio Saldaña
Rector, Peruvian Scientific University, Peru (Americas)

Victoria Marich
Former Pro Rector, St. Petersburg University of Management and Economics, Russia (Europe)

Remus Pricopie

Former Rector, National University of Political Studies and Public Administration and currently Minister of National Education, Romania (Europe)

Godehard Ruppert

Chairman, Universitat Bayern e.V., Germany (National Organisation)

Roberto Escalante Semerena

General Secretary, Unión de Universidades de América Latina y el Caribe, Mexico (UDUAL) (Regional Organisation)

Honorary Presidents

Guillermo Soberon (President 1980-1985)
Former Rector, National Autonomous University of Mexico, Mexico

Blagovest Sendov (Acting President 1984)
Former Rector, University of Sofia, Bulgaria

Justin Thorens (President 1985-1990)
Former Rector, Université de Genève, Switzerland

Hans Van Ginkel (President 2000-2004)
Former Rector, Utrecht University, Netherlands; Former Rector, United Nations University, Japan

Goolam Mohamedbhai (President 2004-2008)
Former Secretary-General, Association of African Universities (AAU)

MEMBERSHIP

The IAU is pleased to introduce the 2012-2016 members of the Standing Committee on Membership Development chaired by Sir Howard Newby, Vice-Chancellor of the University of Liverpool. The IAU Secretariat relies on the advice of the Committee on matters related to membership development strategies and the continuation or growth of valuable and relevant membership services in order for IAU to retain its Members and to become even more attractive to new Members. The committee also provides recommendations on admission policy, membership categories and annual fees.

IAU is proud to report that membership has slightly increased during this year.

Membership status on 30 September 2013

| | |
|------------------------|---------------------------|
| Institutional Members | 641 including 6 observers |
| Organizational Members | 29 |
| IAU Affiliates | 13 |
| IAU Associates | 19 |

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Hilfigje van't Land : h.vantland@iau-aiu.net

Standing Committee on Membership Development 2012-2016

Chair : Sir Howard Newby, Vice-Chancellor, University of Liverpool, UK

Pornchai Mongkhonvanit, President, Siam University, Thailand

E. Nigel Harris, Vice-Chancellor, University of the West Indies, Jamaica

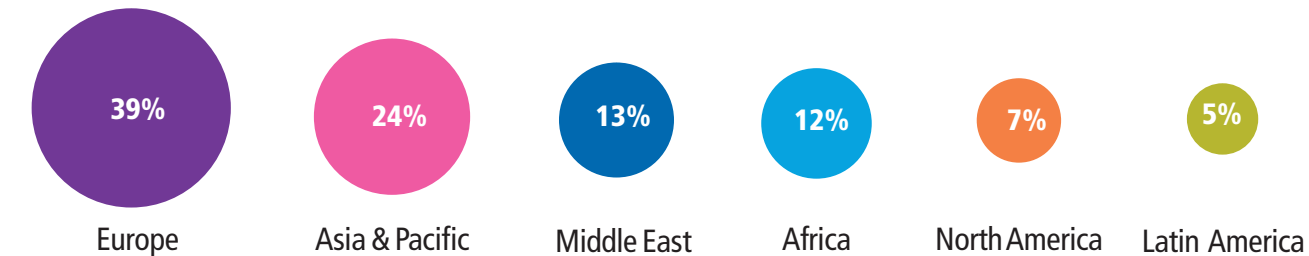
Juan Ramón de la Fuente, Former Rector, National Autonomous University of Mexico, Mexico

Sharon Siverts, Former Vice-Chancellor, National University of Lesotho, Lesotho

Victoria Marich, Former Pro-Rector, St Petersburg University of Management and Economics, Russia

Geographical distribution of institutional Members

IAU Institutional Members are based in 119 countries and the regional distribution is as follows



CONVENING LEADERS OF HIGHER EDUCATION WORLDWIDE : GLOBAL MEETINGS AND CONFERENCES

14th IAU General Conference, Puerto Rico. November 2012

The theme of the quadrennial General Conference, **Higher Education and the Global Agenda: Alternative Paths to the Future**, was broad enough to encompass debate on the multiple challenges facing humanity and the ways in which higher education institutions can address these challenges. The General Conference aimed to be forward looking by seeking viewpoints and signposts on the direction that higher education could follow in the future in to achieve sustainable development, internationalization, fulfilment of the Millennium Development Goals especially in education, equity in access and success in higher education, but also to find or adjust to new funding models for growth in higher education and research and to reach consensus on ethical guidelines for higher education institutions.

IAU General Conferences are also the decision making bodies of the Association. The President and the Administrative Board were elected for the 2012-2016 period and a 4-year plan setting the direction for IAU work was approved by attending IAU Members and their delegates . Key policies concerning internationalization, on the one hand, and ethics in higher education, on the other hand, were presented and endorsed by the membership.

IAU was honoured to be hosted by the **Inter American University of Puerto Rico** and thus to be involved in and contribute to this university's centennial celebrations. President Fernós, Chancellor Marilina L. Wayland and all of their colleagues succeeded in making the IAU feel part of their academic community. By involving many of the faculty, staff members and students of the University in all aspects of the Conference participants gained a new appreciation for this key Puerto Rican university.

Participants at the IAU General Conference may not have outlined a single roadmap for the future, but the diversity of perspectives and coverage of topics certainly opened up numerous paths and avenues for future collaborations amongst institutions and organizations, and possible new areas for research and also served to create many new connections. The numerous encouraging and positive feedback comments that IAU received through the post-conference online evaluation confirmed that the theme, the presentations and the dialogue that took place in San Juan contributed valuable insights into the reflection underway as we look at the future of higher education and especially at the post-2015 global agenda.





Global Meeting of Associations (GMA), Salford, UK. April 2013

The IAU fifth Global Meeting of Associations (GMA V) was organized by IAU in collaboration with the Northern Consortium UK (NCUK) and the University of Salford. The GMA focused on a key challenge for most higher education organisations, networks and association, namely: **Institutional Diversity in Higher Education: Advantage or Threat for Associations?** All such organizations, including the IAU operate in an era and an environment of rapidly increasing numbers and types of HEIs and a growing number of networks and associations around the world. Indeed, this phenomenon calls for a deeper reflexion on how this trend will shape higher education globally, how it will influence the work of associations and networks and what role these can play collectively in shaping the future landscape. Over 70 participants took part in the day-and a half long meeting, including representatives of all the major regional higher education associations: the African Association of

Universities (AAU), the European University Association (EUA), the Arab Association of Universities (ArAU), the Union of Latin American Universities (UDUAL), the Association of Universities of Asia and the Pacific (AUAP), as well as the Agence universitaire de la Francophonie (AUF), the Association of Commonwealth Universities (ACU), the Inter American Organization of Universities (IOHE) and a number of national associations and specialized groups from Europe, Africa and North America and Australia. Prof. Ellen Hazelkorn's opening presentation on *Re-imagining Higher Education: Taking a Broader View of Diversity* served to frame the discussion. She defined the concept of diversity, drew attention to the ways that core tasks diverge among institutions; how different their student and staff profiles are and she also noted how they differ in terms of internal organization; its specific significance / positioning, mission and visions in the 21st Century; the drivers for the institutional changes over time; the values of diversity and why it is important to strengthen it. Once again the GMA provided a platform for association leaders to meet with and as peers from all parts of the world to interact in small groups, exchange ideas and practices, and to network.

Upcoming Meetings and Conference

IAU is already planning a number of upcoming Meetings and Conferences. All Members will receive more detailed information in due time and the IAU website will provide relevant updates.

2014 International Conference : Blending Higher Education and Traditional Knowledge for Sustainable Development. Hosted by Universidad Científica del Perú 19-21 March 2014 // Iquitos, Peru

2015 Global Meeting of Associations (GMA VI). Hosted by the Association of African Universities in collaboration with the University of Ghana 2015 // Accra, Ghana

2015 International Conference : Internationalization of Higher Education. Hosted by University of Siena 28-30 October 2015 // Siena, Italy

2016 15th General Conference Jointly hosted by Siam University, Chulalongkorn University and Suranaree University of Technology 2016 // Bangkok, Thailand

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THEMATIC PRIORITIES AND PROJECTS

INTRODUCTION

The newly elected members of the Administrative Board established a number of Working Groups in the IAU priority areas. The Working Groups are chaired by an IAU Board Member and can, in addition to other Administrative Board members, include experts from IAU Members and partner institutions. Each Working Group provides expert advice to the Administrative Board and the Secretariat on the strategic directions to be pursued in various areas. The Working Group membership is presented in this section along with the major achievements of the Association for the year 2012-2013.



INTERNATIONALIZATION

WORKING GROUP: INTERNATIONALIZATION

Chair : Yutaka Tsujinaka, Executive Advisor to the President, University of Tsukuba, Japan

E. Nigel Harris, Vice-Chancellor, the University of the West Indies, Jamaica

Patricia Pol, Policy Advisor for European and International Affairs, Université Paris-Est Créteil Val de Marne, France

Angelo Riccaboni, Rector, University of Siena, Italy

Daniel Hernandez Ruiperez, Rector, University of Salamanca, Spain

Roberto Escalante Semerena, Secretary-General, Association of Universities of Latin America and the Caribbean (UDUAL), Mexico

Internationalization of Higher Education in Romania

To strengthen public administration's capacity for evidence-based policy making in Romania, the Executive Agency for Higher Education, Research, Development and Innovation Funding (UEFISCDI) of Romania was awarded a large scale, European Union structural funds project composed of various components. One of these was to conduct a multi-dimensional analysis of Romanian higher education institutions in a number of areas including internationalization and equity/social cohesion.

To fulfil the aims of this aspect of the project UEFISCDI invited the IAU Secretary General to coordinate activities on these two areas, building on the Association's previous work. Through a call open to all Romanian institutions, two institutional case study groups were established, one on each of the two specific themes. Expert groups were established for each theme composed of international and Romanian experts, as well as representatives from UEFISCDI and IAU.

The expert group on Internationalization undertook analyses of current Romanian internationalization policies and related actions at the national level as well as in five volunteering HEIs in Romania. The institutional reviews were based on the IAU's Internationalization Strategies Advisory Service (ISAS) and included site visits and full feedback and reporting.

The outcomes of the project included a thorough summary and analysis of the national policy and institutional case study reports, each with a set of recommendations and suggestions for future policy development at both levels. As well a conference that discussed the findings with representatives of Romanian higher education institutions was held in Bucharest in November, 2013. The overall report is available on the dedicated project website (www.politici-edu.ro) and the institutional reports were provided to each university.

IAU 4th Global Survey on Internationalization of Higher Education

In 2013 the Association launched the 4th edition of its Global Survey on internationalization of higher education as part of its longstanding commitment to track and analyze current and evolving trends in internationalization of higher education and related policy making. The overall project, and especially the review and revision of the questionnaire for the survey, was aided by an Advisory Committee made up of senior figures in the field of Internationalization from every region of the world and representatives of the IAU partners in this edition of the survey: the British Council, European Commission, EAIE and NAFSA. The list of the Advisory Committee members is detailed below.

Advisory Committee Members – IAU 4th Global Survey on Internationalization of Higher Education

- Madeleine Green, IAU Senior Fellow, U.S.A
- Denis Murray, Executive Director, International Education Association of Australia (IEAA), Australia
- Francisco Marmalejo, Executive Director, CONAHEC, Mexico/USA
- Georges Nahas, Dean of Institute of Theology, Vice President for Planning and Educational Relations, University of Balamand, Lebanon
- Hans de Wit, Professor of Internationalization, Amsterdam University of Applied Sciences, Netherlands
- James Jowi Otieno, Secretary, African Network for Internationalization of Education, Kenya
- Jane Knight, Adjunct Professor, University of Toronto, Canada
- Jocelyne Gacel Avila, General Coordinator of Cooperation and Internationalisation, University of Guadalajara, Mexico
- Jose Celso Friere Junior, Head, International Relations – UNESP – APEX, Brazil
- Masayuki Kobayashi, Professor of Centre for Research and Development of Higher Education, University of Tokyo, Japan
- Patricia Pol, Policy Advisor for European and International Affairs, ARES, France
- Robert Stableski, Senior Adviser for Planning and Service Development, NAFSA, U.S.A
- Michael Peak, Higher Education Research Manager, British Council, U.K

In March, an electronic version of the questionnaire was sent the Head of Institution and/or Head of International Relations in 9,000 higher education institutions in every world region. The survey was closed in September 2013, and IAU is pleased to announce that 1,336 higher education institutions (HEIs) from 131 different countries completed the electronic questionnaire. This represents almost double the number who took part in the IAU 3rd Global Survey published in 2010.

The analysis is underway and the IAU expects the report to be available in April 2014. IAU will provide complimentary electronic copies of the Executive Summary of the Global Survey report to all institutions that completed the questionnaire. IAU thanks members of the Advisory Committee, all those who, within the HEIs took part in the survey, and the Association's partners in this important initiative.



Internationalization of Higher Education in Malaysian

In partnership with the Universiti Malaysia Kelantan (UMK) and the Centre for Leadership Training (CELTRA), Malaysia, IAU planned, developed and delivered a training and capacity building workshop on Internationalization of Higher Education for Malaysian institutional leaders. The workshop, which took place on 6-8 November 2013, brought together about 40 representatives from both public and private higher education institutions in Malaysia. It was led by a small team of international and Malaysian experts and staff members from each of the partners. The workshop covered a range of topics focusing on both policy development and strategy implementation. It was highly interactive and well appreciated by all participants.



Internationalization Strategies Advisory Service (ISAS)

Two ISAS projects got underway during the year under review. The IAU Panel for the project at the **Universidad Científica del Peru** (UCP), Peru, composed of experts from Europe and Colombia and led by Dr. Madeleine Green, IAU Senior Fellow, undertook the site visit to the institution in early October 2013, having completed the analysis and review of the institutional self-assessment report. The Panel's project report, including advice and recommendations for future actions on the university's internationalization strategy and related activities was completed and made available to the university.

Getting the second project fully launched, Madeleine Green, made the initial site visit to the **University of Botswana** (UoB) in September. During her two intensive days of meetings she met with a range of stakeholders from across the institution to explain in details the ISAS project; worked with the university representatives on the formulation of a workplan and time-frame, and helped to initiate the university's ISAS Institutional Committee whose members will manage and facilitate the process across the institution. The Committee will now begin the research required to develop the institution's Self-Assessment Report which forms an essential part of every ISAS project. It is expected that the site visit to the institution by the IAU Panel, with experts from Ghana and Belgium this time, will take place in the first few months of 2014.

Both of these ISAS projects are made possible thanks to the funding the IAU has received from the Swedish International Development Cooperation Agency (Sida) and the contributions by each university.

The IAU is also pleased to announce that it has reached an agreement with **Meiji University**, Japan to undertake an ISAS project. An initial site visit to the University was conducted by IAU Secretary General, Eva Egron-Polak in 2013. The project will also include a two-and-a-half-day site visit by the IAU Panel of experts in March 2014, once the institutional self-assessment report is completed.

More about ISAS: www.iau-aiu.net/content/isas

IAU-EAIE Executive Seminar, Istanbul, Turkey

Co-organized by IAU and the European Association for International Education (EAIE) and held during the EAIE Annual Conference in Istanbul, Turkey, this first edition of an invitational Executive Seminar brought together nearly two dozen university presidents from an equal number of nations to debate whether and how higher education internationalization served the interests of diplomacy and to what extent this was an appropriate role for higher education institutions. Several leaders of IAU Member universities attended and in light of their very positive feedback and the general success of the Seminar the two organizations agreed to repeat the initiative in Prague, Czech Republic in September 2014. Thus a second invitational Executive Seminar will be held on September 17, 2014 once again during the EAIE annual conference.

IAU representation in meetings and conferences

- Annual Conference of the International Education Association of Australia (IEAA) in Melbourne, Australia (October 2012)
- An EU strategy on the global dimension of higher education, Conference organized by the European Commission in Brussels, Belgium (October 2012)
- 46th Annual Conference of the Canadian Bureau for International Education (CBIE) in Montreal, Canada (November 2012)
- Inaugural International Higher Education Teaching and Learning Conference (HETL) in Orlando, USA (January 2013)
- What works: Assessment Tools and Indicators for University internationalization, International symposium, Tokyo International Exchange Centre, Japan (March 2012)
- Norwegian Internationalization Conference in Bergen, Norway (March 2013)
- Annual Conference of the European University Association (EUA), Ghent University, Belgium (April 2013)
- Lithuanian Presidency of the EU conference "European Higher Education in the World" Vilnius, Lithuania (September 2013)



IAU Members are invited to contact IAU for more information about ISAS or how IAU can contribute in the area of internationalization.

CONTACT

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EQUITABLE ACCESS AND SUCCESS

WORKING GROUP: EQUITABLE ACCESS AND SUCCESS

Chair : Hope C. Sadza, Vice-Chancellor, Women's University in Africa, Zimbabwe

Anna Ciccarelli, former Deputy Vice-Chancellor and Vice-President, The University of Queensland, Australia

Sir Howard Newby, Vice-Chancellor, University of Liverpool, UK

Khalid Omari, President, Jerash University, Jordan

Remus Pricopie, former Rector, National University of Political Studies and Public Administration, Minister of Education, Romania

IAU Equitable Access and Success Self-study and Workshop

Improving the ways higher education institutions (HEIs) attract and serve learners from under-represented groups is at the heart of the IAU Policy Statement entitled *Equitable Access, Success and Quality in Higher Education* (2008). In an effort to move beyond the statement of principles and recommendations to governments and HEIs, the IAU developed a project to promote mutual learning and sharing of institutional actions and policies for equitable access and success. The aim of this, more practical project was to assist universities to examine their policies and practices for promoting and improving the provision of access to and ensuring the success in higher education for all students and most particularly for students from under-represented groups in society.

28 IAU Member institutions from 25 countries responded positively and completed the institution-wide Self-Study of all related policies and practices in their institutions and on this basis a Summary report was prepared by IAU. To discuss the findings and to allow for mutual exchange of experiences, IAU organized, a special workshop in Montreal, Canada. The Workshop, chaired by Prof Hope Sadza, took place during the first World Congress on Access to Postsecondary education. All participating institutions were invited to attend and thanks to the 2012 ISIC Award, IAU was able to bring together representatives of some 15 universities. During the two sessions of the Workshop, participants discussed their institutional experiences, shared lessons at the institutional level, and suggested ways to address them through collaboration. The report presenting the project and the results of the Workshop will be made available on the IAU website.



Equity in the Romanian Higher Education System

Within the framework of the the Executive Agency for Higher Education, Research, Development and Innovation Funding (UEFISCDI) project 'Higher Education Evidence Based Policy Making: a necessary premise for progress in Romania', IAU collaborated with UEFISCDI on two distinct areas of policy making, one on internationalization and one on equity/social cohesion.

Four Universities (Titu Maiorescu University, "Politehnica" University of Timișoara, «Carol I» National Defence University and University Stefan cel Mare from Suceava) took part in the part of the project focusing on equity/social cohesion, by answering the 40 questions of the IAU Institutional Self-Study Instrument adapted to the Romanian context. The project was designed to examine and analyse the Romanian HEIs' current views on equity and social cohesion, their policies with regard to equitable access and success in higher education as well as their recommendations for changes and improvements in the state policy framework.

A group of experts, composed of both Romanian and international experts, analysed the institutional strategies and various programmes of the Romanian case study universities and visited each institution, meeting with a large number of representatives during each study visit, including heads of the institutions, faculty members and staff, as well as local and international students. The project has been highly interactive and included a series of Mutual Learning Workshops where findings were shared among all expert panels.

Similarly with the study on internationalization, UEFISCDI and IAU, with contributions from the expert team, prepared a final project report entitled Equity in the Romanian Higher Education System. The report's three parts, namely: the general policy framework; institutional level findings and policies; and recommendations at the institutional and national levels, were presented at the concluding conference organised as a stakeholders' consultation and dissemination event y in November 2013 in Bucharest, Romania

IAU representation in meetings and conferences

- 22nd EAN annual conference, the Council of Europe, Strasbourg, France (June 2013)
- First World Congress on Access to Postsecondary Education, Montreal, Canada (October 2013)



For more than 20 year, IAU has recognized and promoted the key role of higher education in achieving sustainable development. By making sustainable development a central academic and organisational focus, leaders of higher education institutions, teaching, research and administrative staff, students and other higher education stakeholders are ideally positioned to contribute to the creation of the necessary conditions for a more equitable and ecologically sound future.

IAU includes higher education for sustainable development (HESD) issues regularly among its activities and places these on the agenda for discussion. It devotes specific IAU annual and general conference sessions to discussing HESD, searching for effective practices and building networks and partnerships with others.

In 2013, the IAU has given particular attention to consolidating the IAU global Portal on HESD and on the preparations for the IAU 2014 International Conference.

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HIGHER EDUCATION FOR SUSTAINABLE DEVELOPMENT (HESD)

WORKING GROUP : HIGHER EDUCATION FOR SUSTAINABLE DEVELOPMENT (HESD)

Chair :Angelo Riccaboni, Rector, University of Siena, Italy

Betsy Boze, President, The College of the Bahamas, USA

Etienne Ehile, Secretary-General, AAU

Roberto Escalante, Secretary General, UDUAL

Pam Fredman, Rector, Göteborg University, Sweden

Pornchai Mongkhongvanit, President, Siam University, Thailand

Juan Remigio Saldaña, Rector, Peruvian Scientific University, Peru

Higher Education for Sustainable Development (HESD) Portal

To facilitate and stimulate exchange and to share and disseminate good practices and experiences, IAU created the HESD portal. It was launched at the IAU 14th General Conference in November 2012.

A questionnaire was disseminated to all HEIs around the world inviting their leaders to contribute to the Portal. IAU has received some 200 completed questionnaires from institutions and 9 from various organisations in some 80 countries. These are being processed and uploaded gradually. In total, more than 250 institutions' actions and initiatives and those of 100 organisations from some 90 countries are registered online. The Portal serves on the one hand, to showcase the wealth and variety of activities developed by HEIs around the world and, on the other, to foster exchange and debate and inspire others to use examples provided and adapt these to their own needs and possibilities. Designed as a special service for its Members, data from IAU Members are treated on a priority basis.

All HEIs are invited to review information published, to submit new information on HESD and to update the institutional profiles posted on the HESD portal (www.iau-hesd.net).

IAU 2014 International Conference on Blending Higher Education and Traditional Knowledge for Sustainable Development

Accepting the invitation issued by the Rector of the Universidad Científica del Perú to hold the IAU 2014 International Conference in Iquitos, Peru, preparations for this event got underway early in 2013. Focusing on sustainable development, the conference will provide an important platform where information gathered for the HESD Portal will be analyzed and presented. The portal content analyses will also be made available to the UNESCO Education for Sustainable Development (ESD) Sector as a contribution to the UNESCO World Conference on ESD preparations (included in the global report). The Portal will be presented in Aichi-Nagoya, in November 2014 during this World Conference.



IAU representation in meetings and conferences

- United Nations Decade on Education for Sustainable Development (UN-DESD) Reference Group Meeting, UNESCO, Paris, France, April 2013
- International Conference on "Sustainable Development Solutions for the Mediterranean Region" (UN-SDSN MED Solutions), University of Siena, Italy, July 2013
- Third Meeting of the International Steering Group (ISG) for the UNESCO World Conference on ESD, UNESCO, Paris, France, September 2013

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HIGHER EDUCATION FOR EDUCATION FOR ALL (HEEFA)

The work of IAU in the area of HEEFA is supported by the HEEFA reference group which is composed of 31 members worldwide including several IAU Administrative Board members. Prof. Olive Mugenda, Vice-Chancellor at Kenyatta University, Kenya and IAU Vice-President is chair of the group since its creation in 2011. The full member list of the HEEFA Reference Group is available on the IAU website.

IAU Collaborative Workshops to envision higher education for EFA locally

The IAU continues to organize collaborative Workshops with the aim to analyse the context and propose means to strengthen the engagement of the higher education sector in EFA locally. The Workshops serve to increase awareness about EFA among representatives of the higher education community, and inversely to increase awareness of work accomplished by higher education institutions in EFA by other EFA stakeholders. They provide a structured collaborative forum to discuss ways to strengthen higher education's contribution to achieving the EFA goals, to think 'out of the box' about concrete ways to engage higher education in EFA and to produce a document on how to move forward in this domain.

IAU Members located in non-OECD countries are invited to express interest in hosting a workshop and to undertake the 3-step activity that includes:

1. Data collection, using an IAU questionnaire on how participants perceive and experience the link between higher education and EFA and how higher education institutions could be better involved in EFA-related actions at the regional/national level.
2. The Workshop during which participants analyse the results from the questionnaire, discuss with representatives from all stakeholders the findings focusing on teaching/learning, research and community service and as a group are asked to define priorities and strategies.
3. Organize the review by all participants of the report of the Workshop and follow-up actions undertaken locally related to the Workshop outcomes. The IAU remains in communication with the local organizers to keep track and inform the global EFA community of these actions.



Two Workshops were held in the past year thanks to the financial support from Swedish International Development Cooperation Agency (Sida):

Thribuvan University in Kathmandu, Nepal (December 2012)

The Workshop in Katmandu brought together 57 high-level representatives from five universities, the Ministries of Education and Finance, UNESCO, and various local NGOs working on EFA in Nepal. It was also attended by two members from the IAU Reference Group on higher education for EFA, one from the University of Liverpool (UK) and another from the University of Delhi (India). More than two dozen recommendations were endorsed by the participants and included in the final report which also presents the programme, presentations and list of participants who attended the Katmandu Workshop. It is available on the IAU website.

University of Nairobi in Nairobi, Kenya (January 2013)

The Workshop in Nairobi brought together 30 participants from the University of Nairobi and Kenyatta University, the Ministries of Higher Education, Science and Technology and that of Education, UNESCO, UNICEF and representatives of civil society. The Workshop ended with the approval of an action plan composed of three parts: collaboration, mobilization and follow-up. The Director for Basic Education at UNESCO agreed to convene a meeting with the participants at the Ministry of Education to follow-up on the outcomes of the Workshop. The final report is available on the IAU website.

Online community building

As part of its project to build linkages between higher education and EFA, the HEEFA Portal is a collaborative resource to disseminate information about the work of the higher education sector in EFA-related fields. It aims to build a like-minded community. Academics and students can now enter information on their projects, describe their expertise, and upload their publications to the Portal, making it increasingly useful to the higher education for EFA community. The uniqueness of this Portal is its attempt to raise awareness among those working in higher education and all other interested stakeholders (Intergovernmental organizations, NGOs, Ministries of Education, school administrators and teachers) on the important role that higher education can play and is achieving in EFA. www.heefa.net.

In conjunction with the Portal, 4 issues of the IAU-HEEFA Newsletter were also published and disseminated electronically with a view to inform about the latest developments of the IAU project on HE for EFA and about selected global, regional and national EFA trends and news that impact the higher education involvement in EFA.



NGO Forum in partnership with UNESCO

IAU chaired the organising committee for the first Forum of NGOs in official partnership with UNESCO, held at UNESCO, Paris, on 23 September 2013. The Forum theme was: Which Education Goals for Tomorrow's Citizens of the World: Is Quality Enough? IAU President, Prof. Dzulkifli Abdul Razak, was one of the guest speakers presenting a perspective from higher education on the EFA goals that are yet to be achieved. The Forum brought together over 260 NGO/INGO representatives - as well as observers from several Permanent Country Delegations to UNESCO. Participants adopted a Strategic Plan for 2014-2015 and the NGOs Collective Priorities for the Post-2015 Agenda on Education. As chair of the organizing committee for the Forum, the IAU handed over the List of Priorities to the UNESCO representative. This Forum is the first in a series of four to be organized by the NGO-UNESCO Liaison Committee by the end of 2014.

IAU representation in meetings and conferences

- First Forum of NGOs in official partnership with UNESCO: Which Education Goals for Tomorrow's Citizens of the World: Is Quality Enough?, 23 September, 2013, Paris, France
- European Conference on Information Literacy, 22-25 October, 2013, Istanbul, Turkey
- UNESCO Consultative Committee of NGOs/EFA Coordination Group: several meeting held throughout 2013



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INNOVATIVE APPROACHES TO DOCTORAL EDUCATION IN AFRICA (IDEA - PhD)

WORKING GROUP : INNOVATIVE APPROACHES TO DOCTORAL EDUCATION IN AFRICA (IDEA - PhD)

Chair : Ernest Aryeetey, Vice-Chancellor, University of Ghana, Ghana
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Gilles Breton, Graduate School of International and Public Affairs, University of Ottawa, Canada
John Ddumba-Ssentamu, Vice Chancellor, Makerere University, Uganda
Etienne Ehile, Secretary-General, Association of African Universities (AAU)
Phyllis Fredman, JD, Professor Emerita, University of Massachusetts, Boston, USA, Co-Editor, Journal of Public Health Policy
Stephen Freedman, Provost, Fordham University, USA
Nadja Gmelch, Project Manager, Association of Catalan Public Universities (ACUP), Barcelona, Spain
Inger Lundgren, Research Advisor, Division for Research Cooperation, Dep. for Global Cooperation, Swedish international development cooperation agency (Sida), Sweden
Goolam Mohamedbhai, Former Secretary-General AAU, Former President IAU, Former Vice-Chancellor, University of Mauritius
Olive Mugenda, Vice-Chancellor, Kenyatta University, Kenya
Yaa Ntiemoa-Baidu, PhD Coordinator, University of Ghana, Ghana
Patricia Pol, Policy Advisor for European and International Affairs, Former VP International, Université Paris 12 - Val de Marne, France - Université Paris 12
Morshidi Sirat, University Sains Malaysia, Malaysia



Innovative Approaches
to Doctoral Education in Africa



Copyright ACUP

Innovative approaches to doctoral education (IDEA-PhD)

One of the main outcomes of focused collaboration between African, North American and European higher education institutions - and with the Catalan Association of Public Universities (ACUP) and the Open University of Catalonia (UoC) - is the development of a joint platform on Innovative approaches to doctoral education in Africa: www.idea-phd.net. With focus more particularly on collaboration with institutional Members in sub-Saharan Africa, the Portal has been developed with a view to increase and disseminate knowledge about different models and alternate approaches to doctoral education; to foster networking and exchange of information among institutions and to enhance and strengthen doctoral programmes and training in Africa. Although the initial focus has been on Africa, the Portal continues to be developed thanks to contributions made by higher education institutions from around the world and therefore serves the higher education community in general: www.idea-phd.net.

Special Issue of IAU Horizons on IDEA-PhD

The Association's magazine **IAU Horizons** (vol. 19.no. 2, June 2013) was devoted to innovative approaches to doctoral programmes, in a special issue which presented some 16 papers on doctoral education by authors from all parts of Africa and beyond (http://www.iau-aiu.net/sites/all/files/IAU_Horizons_Vol_19.2_EN_1.pdf)

Workshop on Doctoral education and e-Supervision

In the reports resulting from IAU work on doctoral education as well as in the literature, the issue of doctoral supervision has been identified as one of the key challenges faced by African higher education institutions. IAU was pleased to build on the outcomes of one of the IAU LEADHER grant projects which focused on this topic and to collaborate with ACUP, UoC and Kenyatta University more specifically, to hold a one-day workshop on doctoral education and e-supervision in October 2013 (<http://plephd.blogs.uoc.edu>.)

IAU representation in meetings and conferences

Research and innovation for capacity building in African universities/Educational Cooperation with Developing Countries (EDC) Opening Event on Research and innovation for capacity building in African universities, **EAIE 25th anniversary Conference, Istanbul, Turkey, September 2013**. Dr van't Land spoke of The role of cooperation to enhance education, research and innovation in African universities and presented the work of the IAU on IDEA-PhD.

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WORKING GROUP : VALUES AND ETHICS IN HIGHER EDUCATION

Joined IAU-MCO Working group

Chair : Stephen Freedman, Provost, Fordham University, USA

Santiago Acosta, Vice-Rector, Universidad Técnica Particular de Loja, Ecuador

Agneta Bladh, Former Rector, University of Kalmar, Sweden; Council Member, MCO

Jean-Pierre Finance, Former President, Henri Poincaré University, Nancy-1, France; Council Member, MCO

Walid Moussa, President, Notre Dame University – Louaize, Lebanon

Inga Zaleniene, Vice-Rector for Research, Mykolas Romeris University, Lithuania

IAU-MCO Guidelines for an Institutional Code of Ethics in Higher Education were approved at the 14th IAU General Conference in Puerto Rico, November 2012 as well as by the Magna Charta Observatory (MCO) Council. The IAU established the Working Group and, during the MCO Council meeting, held in September 2013 in Bologna, Italy, the newly-elected MCO President, Prof. Sijbolt Noorda confirmed that the MCO would also be continuing its collaboration in the joint IAU-MCO Working Group on Ethics. The membership of the new joint Working Group thus again includes representatives of both organizations.

Taking the *IAU-MCO Guidelines for an Institutional Code of Ethics in Higher Education* as their starting point, the Working Group now aims to make the best use of these Guidelines to promote debate, raise awareness of ethical issues confronting higher education, and encourage institutional action to increase commitment to ethical conduct in HEI management, in teaching and learning and research, and in the interactions between HEIs and the wider community.



**INTERNATIONAL
ASSOCIATION OF
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Open Education Resources (OER) Use, Reuse, and Production

- A Training and Support Programme for Academic Librarians

IAU organized a Validation Workshop to raise awareness of and gather feedback on a proposed new IAU Training and Support Programme for Academic Librarians on Open Educational Resources (OER) Use, Reuse, and Production. The Workshop took place in Accra, Ghana in September, 2013 with financial support from the UNESCO Participation Programme and in partnership with the Association of African Universities (AAU). It was attended by 26 participants from 10 African countries, including university librarians from IAU Member higher education institutions, representatives from the Consortium of Academic and Research Libraries in Ghana (CARLIGH), and representatives from UNESCO.

The Training and Support Programme focuses on the uptake, production and practice of using OER – free digitized and non-digitized open teaching and learning materials - within Higher Education institutions. OER respond to the calls for greater access to knowledge within the context of knowledge societies and commitments to lifelong learning. Often there is a lack of understanding about OER – what they are, where they are located, how they can be adapted and re-used and how their quality is assured. The IAU believes that academic librarians are well-placed to advocate for and help in the development of OER.

The Validation Workshop was structured to combine a balance of presentations by invited speakers and discussion groups. Participants, as peers from diverse backgrounds, were encouraged to interact and share their experiences on OER during the highly interactive group discussions. They focused on: OER localization and use; OER production and dissemination; and the IAU OER and the Academic Librarian Project.

The IAU Training and Support Programme was strongly endorsed by the Workshop participants who agreed with the stated purposes of: promotion of OER use, re-use and production by academic librarians; training of academic librarians on OER; and the creation of a community of academic libraries and librarians for OER use, re-use, and production, provisionally named ALL4OER. IAU will seek financial support needed for the implementation of the Programme.



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ICT for information accessibility in Learning (ICT4IAL)

IAU is one of 4 partners in the ICT for Information Accessibility in Learning (ICT4IAL) project. The aims of the project are to raise awareness and increase the visibility of the issue of accessible information provision and its relevance for equitable lifelong learning opportunities, as well as to support accessible information provision within organisations through the development, trialling and evaluation of a set of practical guidelines. The project focus is education and IAU represents the Higher Education sector and will include IAU Members in the testing of the guidelines. The final Guidelines will be presented at the Dissemination Event planned to take place in Riga, Latvia in May 2015, during an official event under the Latvian Presidency of the European Union. The final Guidelines will be sent to all IAU Members as a resource to improve educational policy and practice for learners with special educational needs as well as improving the accessibility of ICT and information for learning.

The project is coordinated and funded by the European Agency for Special Needs and Inclusive Education with funding from the European Commission. The other partners are the European Schoolnet (EUN), UNESCO, the DAISY Consortium.

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The Global Online Higher Education Report (GlobalOHER)

The International Association of Universities is one of the partners of the International Council for Open and Distance Education (ICDE)'s initiative to analyse the impact and extend of use of distance and online learning worldwide. The Global Online Higher Education Report (GlobalOHER) initiative will provide information on enrolments and programmes offered online; information on the role of MOOCs around the world; information on the adoption of Open Education Resources (OER); and perspectives on the importance of online learning in institutional strategies. The other partners in this initiative are UNESCO, the European Commission, DG Education and Culture, the Sloan Consortium, StudyPortals, and Babson Survey Research Group. The first report – a pilot study based on the results of the survey which is to be sent to IAU and ICDE Members - is planned to be released in 2014.

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IAU representation in meetings and conferences

-Massive Open Online Courses (MOOCs), OBHE, London, UK, 5 February

Isabelle Turmaine, IAU Director, Information Centre and Communication Services, attended the University of London, Leadership Foundation and The Observatory on Borderless Higher Education (OBHE) day on Online and Open-Access Learning in Higher Education: MOOCs, new pedagogies and business models on 5 February 2013. It addressed and questioned learning through technology, teaching today, the game changers for education, students' perception of learning, the provision of online academic content, and the higher education landscape of tomorrow.

IMPROVING RESEARCH MANAGEMENT LEADHER PROGRAMME

The *Leadership for Higher Education Reform programme – LEADHER grants*, financed as part of the IAU grant from the Swedish International Development Cooperation Agency (Sida), was first launched in 2007. The grants programme aims to increase and improve South-South as well as North-South cooperation among higher education institutions in order to strengthen research and research management capacity in universities with a particular focus on developing countries. Six grants of 10,000 € each are awarded to IAU Members each year and only Members in good standing (no arrears) are eligible to apply. While the relatively modest amount of the grant may not cover the cost of major reform, the programme serves to initiate partnerships and the investigation and testing of innovative projects. Ideally, LEADHER function as a catalyst for change.

This year's grants were particularly aimed at supporting research capacity building, especially in innovative doctoral programmes. The grants were all successful in setting up partnerships allowing for exchange of experience. More particularly, the LEADHER programme enabled the University of Salford and the University of Ilorin to formalize a partnership and create a successful exchange of management practices. Beyond the grant, the institutions are pursuing a jointly managed research programme. The National University of Lesotho and Nelson Mandela Metropolitan University also set up a partnership that will be continued beyond the grant as the project allowed them to identify untapped research and post-graduate prospects. The collaboration will also continue with a view to building capacity and improvement of academic qualifications staff members.

Recipients of LEADHER grants 2012-2013

Moi University, Kenya & Alexandria University, Egypt

University of Salford, United Kingdom & University of Ilorin, Nigeria

The National University of Lesotho & Nelson Mandela Metropolitan University, South Africa

University of Gothenburg, Sweden & Tribhuvan University, Nepal

European Humanities University, Lithuania & Moldova State University, Moldova

Open University of Catalunya, Espagne & Kenyatta University, Kenya & Catalan Association of Public Universities (ACUP), Espagne



More about the LEADHER Programme:
www.iau-aiu.net/content/leadher

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KNOWLEDGE SHARING KEEPING MEMBERS ABREAST OF HIGHER EDUCATION ISSUES

IAU continues to publish the monthly E-bulletin, the IAU Horizons magazine and the Higher Education Policy Journal. In addition, IAU was pleased to launch a new service in February 2013 to inform Members about new sources of reflection about key issues related to Higher Education, as covered in the Press.

IAU Highlights from the Press was created in January 2013. This new electronic publication is released nine times per year. It provides an organised set of links to a large selection of English and French articles published online worldwide on higher education topics in the previous month. Online media from around the world (138,000 titles) are continuously monitored and monthly, about 5,000 articles are analysed and classified according to their prominence and frequency, in addition to their relevance to IAU priority themes. IAU Highlights in the Press is sent by e-mail to IAU Members at the beginning of the month, and posted online on the IAU website at a later date. IAU staff thanks all IAU Members who have sent comments and words of appreciation with regard to IAU Highlights from the Press. More comments and ideas are welcome to ensure that this service fully responds to Members' needs and expectations



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In 2013, the team behind the monthly **E-Bulletin** was pleased to celebrate 10th year of this unique and comprehensive source of information on higher education policies worldwide. Monthly, IAU staff members responsible for the E-Bulletin review the Internet to provide quick information and links to a selection of webpages of interest to the higher education community from around the world. The E-Bulletin continues to be available free of charge. It also keeps Members abreast of the activities of the Association and how IAU has been represented in various international conferences and meetings. It is also offered via the IAU portal. IAU is pleased to report that the E-Bulletin has 3,500 registered subscribers and that many libraries and individuals disseminate it to their networks and/or on their websites.



E-Bulletin

Sharing information on IAU activities
and higher education in the world

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Published three times a year, in both English and French, **IAU Horizons** provides its readers with a summary of current and upcoming IAU activities and special projects; information on IAU publications and details of other new publications received at IAU and catalogued in HEDBIB; a profile of IAU's participation in international conferences and meetings; and a Global Calendar of Events, amongst other sections. Each issue includes a special 'In-Focus' section, where high profile academics from across the world present their views, analysis, projects, and research on a particular key topic in higher education.

Following the **14th General Conference: "Higher Education and the Global Agenda – Alternative Paths to the Future"**, IAU published a double issue of IAU Horizons (vol. 18 N° 3 + 19 N° 1) focusing on the outcomes of the 2012 General Conference. This issue also introduced the newly elected President and the members of the Administrative Board 2012-2016.

Vol. 19 N° of June 2013 placed focus on **Innovative Approaches to Doctoral Education in Africa (IDEA)** and includes 14 articles from Africa and elsewhere, highlighting current trends and needs in order to continue to improve and develop Doctoral Programmes in Africa. This edition also reports on the outcome of the IAU Administrative Board meeting and the Global Meeting of Associations (GMAV) which were held in Salford, UK in April 2013.

IAU Members are welcome to suggest themes for future editions of IAU Horizons and are invited to submit information on events their university organizes and articles on the selected themes.



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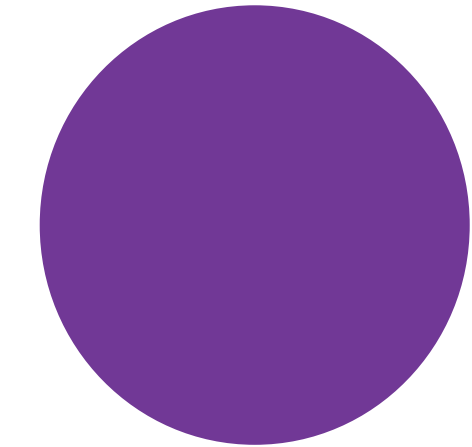
Higher Education Policy (HEP) celebrated 25 years of publication this year. HEP editor since 2007, Professor Jeroen Huisman took up a new position as director of the Odysseus Project on Higher Education Governance at the University of Ghent, Belgium, leaving the University of Bath. Higher Education Policy (HEP), published quarterly, is an international journal indexed in the Social Sciences Citation Index, whose aim is to advance scholarly understanding of the policy processes applied to higher education. Articles offer original analyses, both theoretical and practice-based, the focus of which may range from case studies of developments in individual institutions to policy making at systems and at national level. By providing a peer-reviewed vehicle of the highest quality, HEP permits institutional leadership, scholars, practitioners and administrators at all levels of higher education to have access to, keep abreast of, and contribute to, the most advanced research and analyses available in the domain. IAU Members are encouraged to submit contributions and receive a complimentary subscription to the Journal as part of their membership benefits package.

In the past year IAU published four multi-themed editions, covering topics from internationalization and governance to institutional autonomy, and gender inequality, with articles focusing on countries such as Portugal, Kenya, and Ethiopia. IAU is pleased to report the success of the Journal which generates modest revenue from royalties. In spite of the difficult economic context the sales of the printed version remain stable, while online site licenses, especially in developing countries, have also seen an important increase over the past two years.

| HEP in 2012-2013 |
|---------------------------|
| HEP 26/3 - September 2013 |
| HEP 26/2 – July 2013 |
| HEP 26/1 - March 2013 |
| HEP 25/4 - December 2012 |



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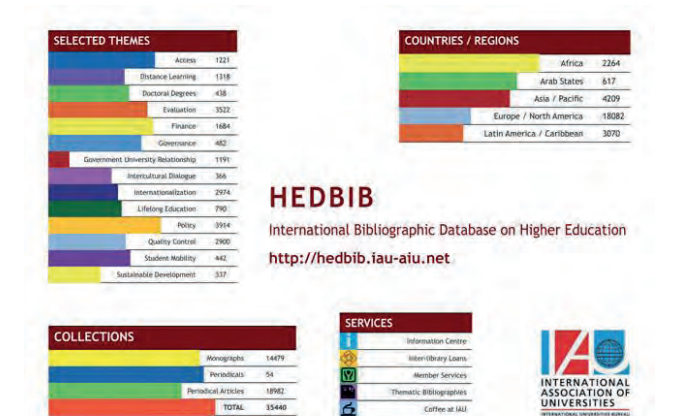


The **International Bibliographic Database on Higher Education, HEDBIB**, is a bibliographic database that includes references which focus on higher education systems, administration, planning, policy, and evaluation, among other topics. It was created and is maintained by the IAU since 1988. Updated on a continuous basis, HEDBIB currently contains over 37,500 records. IAU Members benefit from advanced search access to HEDBIB allowing access to abstracts and to full-text articles of Higher Education Policy as well as offering the possibility to receive references by e-mail. The basic search function is made available to all free of charge.

IAU continues to partner with UNESCO and the Institute for Educational Planning (IIEP) for populating the database. Other HEDBIB contributing partners include the Agence Universitaire de la Francophonie (AUF), Catalan Association of Public Universities (ACUP), Higher Education South Africa (HESA), Southern African Regional Universities Association (SARUA) and Union de Universidades de América Latina (UDUAL).

IAU Members also receive **New in HEDBIB** five times a year. This electronic publication contains full references of newly entered publications in HEDBIB. References are structured according to the IAU thematic areas. Links to electronic publications are included where available.

Access HEDBIB at <http://hedbib.iau-aiu.net>. Access codes are provided to IAU Members each year in October.



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KNOWLEDGE SHARING

MAPPING HIGHER EDUCATION: IAU REFERENCE PUBLICATIONS

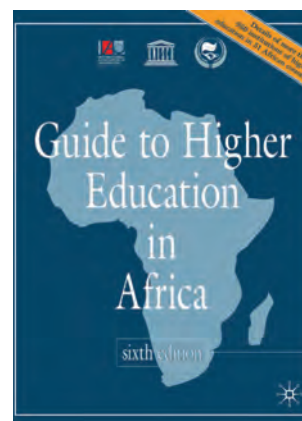
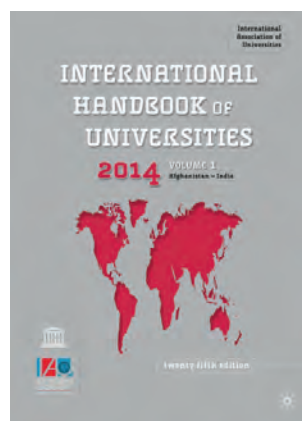
The 25th edition of the 3-volume **International Handbook of Universities (IHU) 2014** released in 2013 contains information on more than 18,000 higher education institutions and describes national education systems in over 180 countries. IAU Members benefit from a 50% discount on all copies they might wish to buy. The publication comes with an online access to the World Higher Education Database (WHED) Online, developed and managed by Palgrave Macmillan—IAU's reference publication publisher. In 2014, the WHED Online will be replaced by IAU's own online product.

2013 also saw the publication of the **6th edition of the Guide to Higher Education in Africa (GHEA)**. This publication is released in partnership with the Association of African Universities (AAU), an IAU Member organization. The Guide contains information on approximately 1,200 higher education institutions and 52 national educational systems. A complimentary copy was sent to all IAU Members in Africa thanks to the support IAU receives from the Swedish International Development Cooperation Agency (Sida). Other Members benefit from a 50 % discount on all copies they might wish to buy. The Guide is released once every three years.

Finally, this year, the IAU released the last edition of the **World Higher Education Database (WHED) CD-ROM**. IAU Members in good standing received a complimentary copy of the CD-ROM. This comprehensive reference CD-ROM has been published annually since 1996. From 2014, the data it contains will be available online, free of charge to all for basic consultation but with special services available to IAU Members (advanced search and extractions) through login and password. All who will purchase upcoming editions of the IHU will have access the advanced functionalities of the new WHED database as well.

As suggested several times, from 2014, IAU will become fully responsible for the maintenance and dissemination of the electronic version of the database, **WHED Portal**. Palgrave Macmillan Ltd. will continue to publish the IHU. Consequently, in 2013, the Reference Publication team worked concomitantly on:

- the publication (proof-reading) of two reference books (IHU and GHEA), a CD-ROM (WHED) and an online product (WHED online);
- the update of the database for 2014 publications. Updates in the database from which reference publications are extracted are done by region. From May 2013, the IAU team has worked on Asia;
- the testing of the new WHED database which is being developed externally by Mr. Pierre Chabert (technical development) and Ms Stéphanie de Nadaï (design) based upon IAU Reference Publication team's specifications. The new, IAU managed online WHED database is expected to go live in the first trimester of 2014.



More information:
www.iau-aiu.net/content/reference-publications

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PARTNERSHIPS

The IAU could not have achieved some of its successes without the contribution and collaboration with its Member institutions and Organizations and its Affiliates. In addition, IAU staff also continues to serve on a large number of advisory committees, boards, or working groups to represent the interests of the IAU membership in discussions of diverse topics of importance to higher education.

Based at **UNESCO**, IAU continues to maintain a strong cooperation with UNESCO across the different programme areas from Education, Sciences to Communication. Among others, the OER workshop organized by IAU was funded by UNESCO through the Participation Programme, IAU has been working in close cooperation with the Education for Sustainable Development Section in the Education (ESD) sector in the development of the portal on Higher Education for SD and the IAU 2014 International Conference. These efforts will ensure that the IAU work will inform the World Conference held on mark the end of the Decade for ESD. IAU has also continued its active involvement in the Collective Consultation of NGOs on Education For All (CCNGO/EFA), UNESCO's key mechanism for dialogue, reflection and partnerships with NGOs in the framework of the EFA movement. The IAU contributed to the drafting of UNESCO medium-term strategy, emphasizing the crucial role of higher education to advance the development agenda.

IAU also continues to collaborate with **OECD** as a member of the Stakeholders Consultative Group for the Assessment of Higher Education Learning Outcomes (AHELO) feasibility study. The purpose of this Feasibility Study was to determine if it is practically and scientifically feasible to assess what students in higher education know and can do upon graduation. The AHELO assessment was to test the possibility to evaluate student performance at the global level, across diverse cultures, languages and different types of institutions.

In addition, IAU is continuously pursuing or expanding partnerships with the **European Commission (EC)**, the **Council of Europe (CoE)**, **Asia-Europe Foundation (ASEF)** and is actively involved in the **Bologna Process/European Higher Education Area**. Through the past year IAU has also established new partnerships with the **Higher Education Leadership Academy in Malaysia (AKEPT)**, the **Executive Agency for Higher Education, Research, Development and Innovation Funding (UEFISCDI)** of Romania and **Global Academy Jobs**, among others.

As partnerships are crucial to the work of the IAU and so that the collaboration evolves in clear and transparent ways, allow the Association to make decisions about engaging with new partners, a Partnership policy has been developed and submitted to the Administrative Board. It outlines the basis on which the IAU will pursue strategic partnerships that best serve the needs of the Members of the Association.



FINANCIAL REPORT 2013

1 OCTOBER 2012 TO 30 SEPTEMBER 2013

The table below presents the financial report summary for 2013 and, for ease of reference, the summary for 2012.

| INCOME (Euro) | 2013 | 2012 |
|--------------------------|------------------|------------------|
| Membership dues | 970 000 | 950 462 |
| Contracts and grants | 387 746 | 320 549 |
| Conferences and Meetings | 127 557 | 29 350 |
| LEADHER Programme | 65 000 | 65 000 |
| Publications | 92 083 | 91 425 |
| Other income | 296 498 | 164 866 |
| TOTAL INCOME | 1 938 883 | 1 621 652 |

| EXPENDITURE (Euro) | 2013 | 2012 |
|---|------------------|------------------|
| Staff Cost (Salaries, Consultants and Social Charges) | 1 022 633 | 954 821 |
| Programme Activities and Conferences | 603 654 | 323 020 |
| Administrative Board and Committees | 40 296 | 40 080 |
| Administration and Office Costs | 127 359 | 145 057 |
| Other charges | 126 000 | 687 |
| TOTAL EXPENDITURE | 1 919 943 | 1 463 665 |

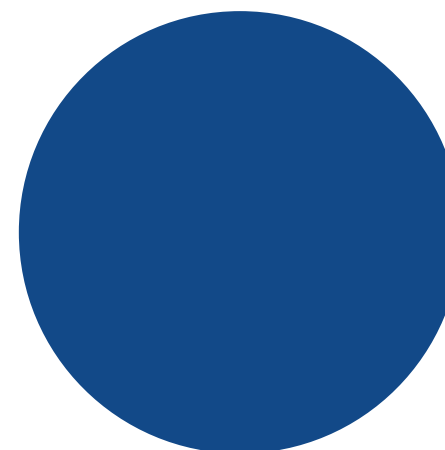
| RESULT | 2013 | 2012 |
|--------|---------------|----------------|
| | 18 940 | 157 987 |

Explanatory note of financial result

In general IAU total revenues and expenditures have remained stable in the financial year 2013. IAU ended the year with a slight surplus, mostly due to continued maintenance of income from membership fees for the year 2013 as well as for payments made by institutions for previous years.

Income from contracts and grants has increased slightly and IAU has continued to build its reserve which stands at 360 000 Euros at the end of 2013 and has set aside funds for expected new expenditure (rent).

Expenditure remains more or less stable, due to careful management and no expansion of personnel.



CONTACT

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IAU SECRETARIAT INTERNATIONAL UNIVERSITIES BUREAU

Comings and Goings:

In December 2012 **the IAU Office Manager, Christiane Oberlin** retired after almost 20 years of much valued service at IAU. We thank her for her dedication to IAU and wish her well. She was succeeded by **Angella Nino**, who took up position on 7 January 2013.

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Karine Bourgelas, Administrative Assistant, left her position at IAU in January 2013 to return to Martinique. We thank her for her valued contribution to the work of IAU and wish her a warm and favourable wind for her future activities. **Morgane Baillargeant** took up the position as IAU Administrative Assistant on 6 March 2013.

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Thibaut Mittelstaedt, who joined IAU in April 2012 as an intern, was retained as a Project Officer to continue his work on the development of the IAU portal on Higher Education and sustainable development.

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