

# INTERNATIONAL ASSOCIATION OF UNIVERSITIES

INTERNATIONAL UNIVERSITIES BUREAU



INTERNATIONAL ASSOCIATION OF UNIVERSITIES  
ASSOCIATION INTERNATIONALE DES UNIVERSITES  
INTERNATIONAL UNIVERSITIES BUREAU  
BUREAU INTERNATIONAL DES UNIVERSITES



## Annual Report 2012





Members of the IAU Secretariat

For further information and for additional copies of the Annual Report, please consult our website or contact us at: [iau@iau-aiu.net](mailto:iau@iau-aiu.net)

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### Photographs – Front Cover

**Top row** : Prof. Olive Mugenda, Vice Chancellor, Kenyatta University at the opening of the IAU 2011 International Conference. **Second row** (from left to right): Discussions during one of the concurrent sessions, IAU 2011 International Conference; A photo of a working group meeting during the IAU sessions on Re-thinking internationalization at the British Council's Going Global 2012 conference. **Third row** (from left to right): IAU Secretary General accepting the ISIC 2012 Award; Expert Panel Members during the ISAS Project with Mykolas Romeris University; Participants of one of the LEADHER projects between Daffodil International University, Bangladesh, and Universiti Sains Malaysia, Malaysia. **Fourth row** (from left to right) : Fort of Ballajá, Old San Juan, Puerto Rico, that will play host to a festive dinner during the IAU 14<sup>th</sup> General Conference; Delegates at the IAU Doctoral Programmes project seminar in Addis Ababa; Participants of one of the LEADHER programmes held during the year under review; Prof. Bajpai from the Association of Indian Universities at the IAU 2011 International Conference.

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## MESSAGE FROM THE OUTGOING PRESIDENT



**T**he 14<sup>th</sup> General Conference in San Juan, Puerto Rico marked the end of my Presidency (2008-2012), but by no means the end of my involvement with the IAU. As with all past Presidents, I will continue to support the Association and as Immediate Past President I will remain a member of the Administrative Board.

Through the dedication of the IAU, the Executive Committee, Administrative Board, Task Forces, Reference Groups and the IAU Secretariat Staff and in collaboration with a wide range of partners, our Association's achievements have been considerable. I would like to thank all those who contributed and commend them for their continued hard work.

I find myself reflecting on all that the Association has achieved over the past four years. For example, since the 13<sup>th</sup> General Conference in Utrecht, the IAU has held three International Conferences, in Lebanon, Lithuania and Kenya, and in 2012 the IAU 14<sup>th</sup> General Conference, which was hosted by the Inter American University of Puerto Rico.

Furthermore, during this time we brought together various associations of universities to take part in what has now become a regular feature of the higher education landscape: the Global Meetings of Associations (GMA). The Northern Consortium of UK Universities (NCUK) in Manchester will host the fifth edition of the GMA in April 2013.

With the invaluable support of the Secretary-General and Executive Director and her staff, we also pursued a number of exciting projects, including the study on the Changing Nature of Doctoral Programs in Sub-Saharan Africa and the Re-thinking Internationalization initiative, as well as expanding other projects, such as the Higher Education and Education for All initiative.

The Internationalization Strategies Advisory Service (ISAS) has given an even stronger service orientation to our work while building on the expertise gained through the regular IAU Global Surveys on Internationalization of Higher Education.

These successes touch only briefly on some of the IAU's achievements.

It is my belief that in the following years, under the leadership of the new President and the active participation of a renewed Administrative Board, the Association can increase both the number and geographical spread of its Membership, and undertake new initiatives to ensure that our current Members remain active in the work of the Association. The IAU can become an even more active 'facilitator' of partnerships among its Members, and can develop new initiatives to enable this to happen.

The Association has much to look forward to in the years ahead and much to be proud of in the years just passed.

A handwritten signature in black ink, which appears to read 'J. de la Fuente'.

JUAN RAMÓN DE LA FUENTE  
Immediate Past President, IAU, 2008 – 2012

## MESSAGE FROM THE INCOMING PRESIDENT



I am humbled to be given the trust and honour to lead the IAU as its 14<sup>th</sup> President. After being associated with IAU as Deputy Board member, and then the First Vice-President over the last eight years, I feel privileged to have the chance to continue serving this unique organisation. The IAU has achieved much in many spheres of higher education internationally as illustrated in this Annual Report. And this is due to the commitment and dedication shown by its members, Secretariat and leadership of the Association. We must continue to do this as envisioned by the vision and mission of IAU since there is still much to be done. In the immediate four-year period we are faced with tremendous opportunities as several pertinent Global Agendas come to a conclusion, namely, Education for Sustainable Development in 2014, MDGs in 2015, and so too EFA! In all these, education is vital as the consensus builder, problem solver, and shaper of the future. In fact we are the first generation to be faced with such enormous tasks on which the fate of humanity and human dignity depend. For sure we need to creatively use this window of opportunities to better position IAU and institutions of higher education in the Post-Rio+20 era.

While acknowledging the past achievements of IAU, we need to quickly leverage on these successes by consolidating our efforts and be single-minded on what we need to further achieve. In short, IAU today stands at a threshold of history, and we have to urgently act to ensure that higher education remains relevant in the increasingly complex and turbulent world.

Let me end by congratulating the Secretariat for compiling and publishing this Annual Report, and acknowledging the Immediate Past President and Members of the previous Administrative Board for their accomplishments in making this report possible.

DZULKIFLI ABDUL RAZAK  
President, IAU, 2012 – 2016

A handwritten signature in black ink, consisting of a large, stylized 'D' followed by a smaller 'Z' and a flourish.

## MESSAGE FROM THE SECRETARY-GENERAL



**T**he 2011-2012 year opened with the IAU I International Conference hosted by Kenyatta University in Nairobi, Kenya focusing on the theme of equitable access and success in higher education and ended in the very final preparations for the 14th General Conference of the Association. During the twelve months that separate these two events the IAU Working Groups, staff and partner organizations worked to bring to completion a number of initiatives and policy documents that were to be finalized in time to be shared with the General Conference participants.

Among these, as this Annual Report will highlight, I would underline the work of the joint IAU-MCO Working Group on Ethics in Higher Education, the International Ad-Hoc Expert Group on Re-thinking Internationalization, the collaboration between IAU and the Association of Catalan Public Universities (ACUP) that led to the jointly organized Workshop on doctoral education in Ethiopia, the successful redesign of the Higher Education and EFA portal, among many others.

However, there are two special highlights that have marked this period for the IAU: the first is the receipt of the ISIC 2012 Award for the work the Association has pursued in the area of Equitable Access and Success. This unsolicited recognition of the importance of the efforts IAU has made to increase awareness and expertise in this important policy area was not only much appreciated by staff and the Board, it will also enable IAU to pursue its initiatives in the future.

The second reason for satisfaction over the results of the year under review have to do with the approval, by the Swedish International Development Agency (Sida) of a new four year grant which will allow IAU to continue publishing its reference books and the Higher Education Policy journal, provide grants to universities within the LEADHER Programme and undertake project such as the further development of a portal about doctoral education in Africa.

These successes as well as the sustained membership that IAU has been able achieve, despite the economic hardship experienced by many higher education institutions around the world, go a long way to motivate the Association's leadership and staff to keep working hard and to strive, on an ongoing basis, to offer new services, launch new projects, improve our communications and network with our Members all around the globe.

We sincerely hope that in a small way, we contribute to improving the quality and strengthening the capacity of higher education and research everywhere.

A handwritten signature in black ink, appearing to be 'E. Egron-Polak', written in a cursive style.

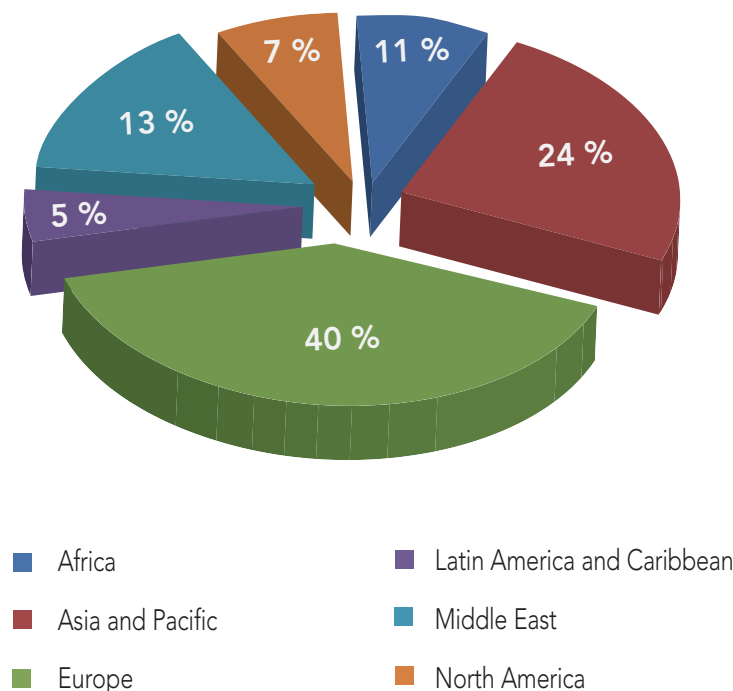
EVA EGRON-POLAK  
IAU, Secretary-General, Executive Director

IAU maintains a continuous membership development and retention effort and considers all of its activities and services in light of their interest for Members. As well, the Membership Development Committee, chaired initially by Prof. Baydar, IAU Board Member from Turkey and later by Prof. Bladh, IAU Board Member from Sweden, defines specific membership drives to increase overall numbers.

The Membership of the Association has been steady since 2008 as far as numbers are concerned but the list of Members is changing over time.

- Current Members as of 30<sup>th</sup> September 2011:
  - 623 institutional Members from 119 countries
  - 29 Member Organisations
  - 13 Affiliates
  - 11 Associates
  - 4 Observers
- New Membership Categories:
  - IAU Observers: newly opened HEIs that have yet to graduate two cohorts of students
  - IAU Associates: open to individual HE leaders and experts who share IAUs values and wish to work more closely with the Association
- IAU Member Recognition Ceremony: a power point presentation is prepared for each IAU annual International Conference, which presents all the new Members of the Association.

### GEOGRAPHICAL DISTRIBUTION OF IAU INSTITUTIONAL MEMBERS







## IAU ADMINISTRATIVE BOARD 2012 – 2016

Chaired by the President of the IAU, the Administrative Board is made of twenty elected and two ex officio members as follows: eighteen executive heads of member institutions and two heads of member organizations, the immediate past President and the Secretary General. A number of deputy members, from different regions and both categories of members are also elected. The Administrative Board meets annually, ensures that decisions of the General Conference are implemented and guides the work of the International Universities Bureau. The term of tenure is four years.

### PRESIDENT

#### Dzulkifli Abdul Razak,



Prof. Abdul Razak is a former Vice President of IAU (2008 – 2012) and is the current Vice-Chancellor of Albukhary International University, Malaysia. Prior to this, he was the 5<sup>th</sup> Vice-Chancellor of Universiti Sains Malaysia (USM) and held the office from 2000 – 2011. In addition he currently serves on a number of Boards and Committees including the National Innovation Council; the National Biotechnology Implementation Committee; the National IT Council and the Board of Trustees of MERCY Malaysia, MCKK Foundation and the Prime Minister's Fellowship Exchange Programme. He was made Chair of the Independent Review Panel on Education for Malaysia, in 2012 and is the Co-editor of the People's Sustainability Treaty on Higher Education that was launched in conjunction with the Rio+20 Conference at Rio de Janeiro in June 2012. He is a Fellow of the Academy of Sciences Malaysia (FASc), the World Academy of Art and Sciences (FWAAS) and the Malaysian Institute of Malaysia (FMIM).

### FULL MEMBERS

#### AFRICA

#### Ernest Aryeetey



Prof. Aryeetey is the Vice-Chancellor of the University of Ghana. Prior to his appointment as Vice-Chancellor he was a Senior Fellow and Director of the Africa Growth Initiative at the Brookings Institution, USA. He was also Director of the Institute of Statistical, Social and Economic Research (ISSER) at the University of Ghana. His research work focuses on the economics of

development with an interest in institutions and their role in development, regional integration, economic reforms, financial systems and small enterprise development.

#### Olive Mugenda\*



Prof. Mugenda is Vice-Chancellor, of Kenyatta University, Kenya. Amongst other awards, she is the recipient of Iowa State University's Distinguished Alumni Award 2007, Esami's 2007 MBA Meritorious Award, and the 2011 Chief of the Burning Spear (CBS) Award for exemplary National Service. She currently serves as a Member of the Kenya National Examinations Council and Director and Board member at the National Economic and Social Council in the Kenya Government.

#### Hope C Sadza



Prof. Sadza is a Co-Founder of the Women's University in Africa, Zimbabwe, and is its current Vice-Chancellor. She was previously a Public Service Commissioner, and Founding Director of the Zimbabwe Institute of Public Administration and Management. She sits on the Board of Barclays Bank, Delta Corporation, Securico Security Services and University of Venda. She is also a member of seven trust including the Joshua Nkomo Scholarship Trust, the National Blood Service and is the Commander of the Air Force of Zimbabwe Charity Fund.

### AMERICAS

#### Manuel J. Fernós\*



Lcdo. Fernos is President, of the Inter American University of Puerto Rico, USA. During his incumbency as President he has achieved a 50 million-dollar expansion in construction projects, and established the Assistant Vice-Presidency for Distance Learning and Technological Development. Prior to his time at the Inter American University, he worked at the Department of Justice, and the Crime Commission of Puerto Rico.



### Stephen Freedman



Prof. Freedman is provost of Fordham University, USA and Professor of ecology and evolutionary biology. He leads the University's ten undergraduate, graduate and professional schools, research centers and institutes, and other academic units. He also chairs the Association of Jesuit Colleges and Universities committee of Chief Academic Officers. Previously he worked for 24 years at Loyola University of Chicago, USA, serving as Professor in the Department of Natural Science; member of the graduate faculty in the Department of Biology, and Dean of Mundelein College. He also served as Academic Vice President at Gonzaga University, USA from 2002 to 2007.

### Eon Nigel Harris



Prof. Harris is Vice-Chancellor, of the University of the West Indies, Jamaica. He is also currently Chairman of the Association of Commonwealth Universities (ACU), President of the Association of Universities and Research Institutions of the Caribbean (UNICA) and Chairman of the Caribbean Examination Council (CXC). He was previously Dean and Senior Vice President for Academic Affairs at Morehouse School of Medicine in Atlanta, USA from 1996-2004. A Rheumatologist, he has received a number of honors and awards, including the Centennial Award for Contributions to Medicine by the National Medical Association (USA), and the Caribbean Health Research Council Award for contributions to medical research (2011)

### Juan Tobias



Prof. Tobias has been the Rector of the University of Salvador, Argentina, since 1985. Amongst other positions he is also President of the Latin American and European University Network (RULE); Founding President of the Argentine Foundation for University Evaluation and Accreditation (FAPEYAU); President of the Organization of Catholic Universities of Latin America (ODUCAL) and President of the American Academy of Financial Markets. In addition Prof. Tobias has been appointed Chivalry of the Order of Academic Palms by the French Government, and the Ministry of Education, Science and Technology of the Argentine Republic.

## ASIA & PACIFIC

### Anna Ciccarelli



Dr. Ciccarelli is the Deputy Vice-Chancellor and Vice-President of the University of Queensland, Australia. In addition she is a Board member of University of Queensland - Universidad Catolica del Norte (UCN) joint venture in the English Language Institute in Antofagasta Chile; a member of the Executive Committee of the University of Da Nang-University of Queensland English Language Institute, DaNang, Vietnam, and Chair of the University of Queensland Confucius Institute Board.

### Pornchai Mongkhonvanit\*



Prof. Mongkhonvanit is President of Siam University, Thailand. Concurrently, he is the Chairperson of the Advisory Board of the Association of University of Asia and the Pacific (AUAP), and was recently elected as the President of the Computer Association of Thailand under the patronage of HRM King Bhumibol Adulyadej. He was also previously President of the Association of Private Higher Education Institutions of Thailand; President of the Thailand Chapter of Phi Delta Kappa (PDK) Honors Society; Chairman of the Board of the National Metal and Material Technology Center of Thailand, as well as being co-founder and Vice President of the Business University Forum of Thailand.

### Walid Moussa



Prof. Moussa is President of Notre Dame University – Louaize, Lebanon. In addition he is President of the Association of the Universities in Lebanon, and is a member of the Executive Board of the Association of Arab Universities (AARU). His research interests are related to Ecumenism and Christian unity, as well as, and education and cultural diversity on the other hand. He is a Salzburg Seminar Fellow and a member in the Delta Mu Delta, National Honor Society in Business Administration, U.S.A.

### **Khalid Omari**



Prof. Omari is President of Jerash University, Jordan. He is also currently President of the Jordanian Academicians Society. Previously he had been Minister of Education and Minister of Higher Education, in Jordan, as well as President, International University for Science and Technology, Syria; Member of the UNESCO Executive Board (1993-1994); President of the Higher Education Council in Jordan and Member of the Executive Council of the Federation of the Universities of the Islamic World (ISESCO).

### **Yutaka Tsujinaka**



Prof. Tsujinaka is Vice-president of the University of Tsukuba, Japan. He also currently sits on the Board of the Japanese Political Science Association, serves as Editor of the Civil Society and Interest Groups in Contemporary World Series, and is the principal investigator of the Japan Interest Group Study (JIGS). Prior to assuming his current position of Vice-president, he had served as Advisor to the President (International Affairs) Science Director, for the Japanese Ministry of Education, Director of the International Liaison Office, and Dean of the College of Social Sciences and Education and Research Council Member amongst other positions.

### **Wei Yang**



Prof. Yang is President of Zhejiang University, China. His previous posts have included Director General, Office of Academic Degrees Committee of the State Council, Chairman of the Academic Committee of Tsinghua University, and Chairman of Zhejiang Association for Science, and Technology. He has received a number of awards including the Zhou Peiyuan Award for Mechanics (2011) and the Award for Mathematics and Mechanics by Ho Leung Ho Lee Foundation (2008).

## **EUROPE**

### **Pam Fredman\***



Prof. Fredman is Vice-chancellor of the University of Gothenburg, Sweden. Since 2008 she has been Chairman of the Association of Swedish Higher Education (SUHF). As Chairman of SUHF, Fredman is also a member of the EUA Council as well as the EUA Research Policy Working Group. She is also on the Nordic University Association Board, and is Chairman of the Principals Council of the Knut and Alice Wallenberg Foundation. Previously she has been Chair of the European Society of Neurochemistry and member of the Royal Swedish Academy of Engineering Sciences.

### **Howard Newby**



Prof. Newby is Vice-Chancellor of the University of Liverpool, UK. Prior to this he was Vice-Chancellor of the University of the West of England (UWE), the Chief Executive of the Higher Education Funding Council for England (HEFCE); Vice-Chancellor of the University of Southampton; President of Universities UK; and President of the British Association for the Advancement of Science. He was made a CBE in 1995 for services to social science and received Knighthood in 2000 for services to higher education (UK).

### **Patricia Pol**



Prof. Pol is Policy advisor for European and international affairs, Université Paris-Est Créteil Val de Marne, France. Patricia Pol worked in the private sector of multinational corporations before entering the world of education and research at the end of the 1980s, and became Vice-President of her university in 2000. From September 2004 to 2011, she coordinated the French 'expert Bologna team' and she remains strongly committed to creating a European Higher Education and Research area.

### **Angelo Riccaboni**



Prof. Riccaboni is Rector of the University of Siena, Italy. Previously he was the Dean of the Richard Goodwin School of Economics and Management, University of Siena, and Head of the Association of Deans of Italian Schools of Economics and Management. He was a member of the Management

Committee of the European Accounting Association and Chair of the 2011 EAA Annual Conference. He currently serves on the editorial board of a number of international journals, including Management Accounting Research, Accounting History, Revista de Contabilidad, Contabilità e cultura aziendale.

### **Daniel Hernández Ruipérez**



Prof. Hernández Ruipérez is Rector of the University of Salamanca, Spain. Since becoming Rector he has taken on several duties in international organizations such as the Advisory Committee of the Coimbra Group of Universities; the Chairmanship of the Tordesillas Group and the Governing Board of Universia. Previously he has also served on a number of managerial roles in the University of Salamanca higher education Dean of the Faculty of Science and also a member of the Governing Board of the University.

### **National Organisation:**

#### **Marianne Granfelt**



Prof. Granfelt is the Secretary General, of the Association of Swedish Higher Education (SUHF), Sweden. Previously she had been University Director, Registrar, Lund University, Sweden and Director of Administration, and Head of Faculty Administration at Linköping University Sweden amongst several other posts.

### **Regional Organization:**

#### **Etienne E. Ehile**



Prof. Ehile is Secretary-General of the Association of African Universities (AAU). Amongst several other positions, he previously worked President of the Conference of Rectors of Francophone Africa and the Indian Ocean (CRUFAOCI); President of the Network for Excellence in Higher Education in West Africa (REESAO), and Member of the Board of Directors of the International Institute of Water Engineering and Environment

### **IMMEDIATE PAST PRESIDENT**

#### **Juan Ramon de la Fuente**



Former Rector, National Autonomous University of Mexico, Mexico

Prof de La Fuente was the IAU President from 2008 – 2012. After being appointed Professor at UNAM's Medical School and Director of the University Programme for Health Research, he was nominated Vice-Chancellor for Science in 1989; Dean of the Medical School in 1991 and Rector of the University in 1999, a position for which he was reappointed for a second term in 2003. He was the founding Head of the Clinical Research Unit at the Mexican Institute of Psychiatry, and has contributed to Mexico's health, higher education and scientific research systems. Prof. De la Fuente was Mexico's Minister of Health from 1994 to 1999.

### **SECRETARY – GENERAL**

#### **Eva Egron-Polak**

Executive Director, Ex-Officio, International Universities Bureau

#### **KEY \***

*Following consultation with all Administrative Board Members, the Incoming IAU President proposed those marked with a '\*' to serve as the four IAU Vice Presidents over the next four years. His proposal was accepted.*

### **DEPUTY MEMBERS**

#### **AFRICA**

##### **Sharon Siverts**

Vice-Chancellor, National University of Lesotho, Lesotho

##### **A Ambali**

Vice-Chancellor, University of Ilorin, Nigeria

## AMERICAS

### **Betsy Vogel Boze,**

President, The College of The Bahamas, The Bahamas

### **Juan Remigio Saldaña**

Rector, Peruvian Scientific University, Peru

## EUROPE

### **Victoria Marich**

Pro Rector, St. Petersburg University of Management and Economics, Russia

### **Remus Pricopie,**

Rector, National University of Political Studies and Public Administration, Romania

## ORGANISATIONS

### National Organisation:

#### **Godehard Ruppert**

Chairman, Universitat Bayern e.V., Germany

### Regional Organisation:

#### **Roberto Escalante Semerena**

General Secretary, Unión de Universidades de América Latina y el Caribe, Mexico (UDUAL)

## HONORARY PRESIDENTS

#### **Guillermo Soberon** (President 1980-1985)

Former Rector, National Autonomous University of Mexico, Mexico

#### **Blagovest Sendov** (Acting President 1984)

Former Rector, University of Sofia, Bulgaria

#### **Justin Thorens** (President 1985-1990)

Former Rector, Université de Genève, Switzerland

#### **Hans Van Ginkel** (President 2000-2004)

Former Rector, Utrecht University, Netherlands; Former Rector, United Nations University, Japan

#### **Goolam Mohamedbhai** (President 2004-2008)

Former Secretary-General, Association of African Universities (AAU)

## Thanks to outgoing Administrative Board Members

The IAU wishes to thank all of the member of the outgoing Administrative Board for their efforts over the past four years. In particular we would like to give special thanks to the following Members of the Administrative Board who have now stepped down. Their hard work and dedication over a period of many years, has been invaluable to the success of the Association, and we hope that they to continue to be involved in the work of the IAU in the future.

- **António Marques**, *Vice-Rector for International Relations, University of Porto, Portugal*
- **Molly Corbett-Broad**, *President, American Council on Education, USA*
- **Agneta Bladh**, *Former Rector, University of Kalmar, Sweden*
- **Makoto Asashima**, *Former Managing Director & Vice-President, University of Tokyo, Japan*
- **Clifford Nii Boi Tagoe**, *Former Vice-Chancellor, University of Ghana*
- **Janyne Hodder**, *Past President, The College of the Bahamas*
- **Is-haq Oloyede**, *Former Vice-Chancellor, University of Ilorin, Nigeria*

## In Memoriam



IAU was deeply saddened by the death of **Prof. Pier Ugo Calzolari**, former Rector of the University of Bologna. Prof. Calzolari was elected to the IAU Administrative Board in 2008. He accepted to act as the Vice President and Treasurer of the Association and took on the Chair of a joint IAU-MCO Working Group which, over the past two years worked to draft Guidelines for an Institutional Code of Ethics in Higher Education. Prof. Pier Ugo Calzolari was deeply committed to promoting excellence and integrity in higher education and worked diligently with IAU to promote these ideas. He brought not only expertise but also wisdom and generosity of spirit to the work he undertook and he will be sorely missed by all who knew him. Our heartfelt sympathy goes out to his wife and family as well as his friends and colleagues. He was much loved and appreciated by all. He passed away on October 11, 2012. The University of Bologna has more information on Prof. Calzolari's outstanding career (see [www.magazine.unibo.it](http://www.magazine.unibo.it)).





## IAU – A UNIQUE CONVENING POWER; A UNIQUE GLOBAL FORUM

### IAU 2011 INTERNATIONAL CONFERENCE: STRATEGIES FOR SECURING EQUITY IN ACCESS AND SUCCESS IN HIGHER EDUCATION

- **Kenyatta University, Kenya,**
- **16-18 November 2011**

The IAU 2011 International Conference, organized in partnership with Kenyatta University, Kenya, brought together 160 participants from 38 countries, to debate the joint issues of equitable access and success in higher education.

Equitable access and success in HE has been one of the IAU priority themes for the past five years and more so since the adoption by the IAU 13<sup>th</sup> General Conference of the Policy Statement entitled *Equitable Access and Success in Quality Higher Education*. The presentations, exchanges and discussions confirmed that offering equitable access to, and ensuring success in quality higher education, is at the core of the challenges and the responsibilities facing higher education institutions around the world.

The Conference reached the following conclusions:

- It is important to advocate for sound policies and related resources to be put in place. The concepts of success and equity need to be defined clearly and contextualized;
- Critical analysis of the data and key policy drivers in access and success are very important. Currently there are disparate levels of information management systems to monitor and track related policy targets;
- The agenda of widening participation differs from country to country and between institutions. Therefore, the institutional context needs to be clearly defined ;



Discussions during one of the concurrent sessions

- Often people from under – represented groups cumulate a number of disadvantages. They can be of low socio-economic status but also perhaps of a minority group in terms of ethnic origin, cultural background etc. Thus approaches to increase their successful participation in HE requires sensitivity on many fronts simultaneously. Students with disabilities offer different challenges yet again;
- It is important to make efforts to open up opportunities for as diverse and large a group of learners as possible;
- Widening participation does not mean lowering the quality of the education provided.

At the conference, the IAU also introduced a new IAU publication entitled: **Equitable Chances: the IAU's Actions to Promote Access and Success in Higher Education**. This booklet presents the work the Association has achieved in this domain so far, and a copy was sent to all IAU Members in February 2012 (for extra copies please contact [e.boisfer@iau-aiu.net](mailto:e.boisfer@iau-aiu.net)). To read more about IAU's work on Access and Success, please see page [www.iau-aiu.net/node/10](http://www.iau-aiu.net/node/10).

The Conference was preceded by the **76<sup>th</sup> IAU Administrative Board Meeting**, which took place in Nairobi.

The IAU would like to thank **Prof. Olive Mugenda**, Vice-Chancellor, Kenyatta University, and her team for their excellent support which enabled the Conference to be such a great success.

For more information please see: [www.iau-aiu.net/content/past-events](http://www.iau-aiu.net/content/past-events)



Students from Kenyatta University dancing during the welcome ceremony.



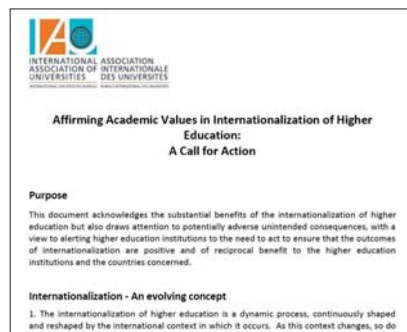
## INTERNATIONALIZATION OF HIGHER EDUCATION

Internationalization of Higher Education is one of the key issues of importance to higher education on which IAU continues to focus. A number of recent activities and projects developed and offered to the membership, the IAU Ad Hoc Expert Group on Re-thinking Internationalization and the Call for Action that they developed, recent ISAS projects, and a number of events that IAU co-organized with others are described below.

As well the In Focus section of **Volume 17 No.3 & 18 No. 1** (March 2012), of the **IAU Horizons Magazine**, included an extensive set of articles written by internationalization experts on the topic of Re-thinking Internationalization.

### NEW IAU CALL FOR ACTION IN INTERNATIONALIZATION RELEASED!

During the year under review, the IAU Executive Committee and Board approved a new statement entitled: **Affirming the Academic Values in Internationalization of Higher Education: A Call for Action** which the IAU prepared in collaboration with the **IAU Ad Hoc Expert Group on Re-thinking Internationalization (the Ad-hoc Group)**.



The Ad-hoc Group which includes scholars and internationalization practitioners from around the world was created as a follow-up to the 4<sup>th</sup> IAU Global Meeting of Associations (GMA IV) held in Delhi, India in April 2011.

Drafting took several months of consultations and editing to ensure that the Call for Action reflected a range of perspectives, a balanced but critical view of current internationalization developments, as well as pointing out some concrete actions that could be taken.

The full text of the Call for Action which is available in English, French and Spanish can be downloaded from the IAU website at the following link: [www.iau-aiu.net/content/re-thinking-internationalization](http://www.iau-aiu.net/content/re-thinking-internationalization)

The aim of the Call for Action is to:

*“...acknowledge the substantial benefits of the internationalization of higher education but also draw attention to potentially adverse unintended consequences, with a view to alerting higher education institutions to the need to act to ensure that the outcomes of internationalization are positive and of reciprocal benefit to the higher education institutions and the countries concerned”.*

The Call for Action has received wide coverage and attention in higher education media, and it has been presented at a larger number of international conferences and meetings. This new IAU effort builds on other activities and services of the IAU in the field of internationalization. These include:

- *Regular Global Surveys on Internationalization of Higher Education*, the next edition of which will be launched in early 2013
- *The Internationalization Strategies Advisory Service (ISAS)*
- Previous IAU policy statements such as:
  - *Sharing Quality Higher Education Across Borders – A statement on behalf of Higher Education Institutions Worldwide*, which was elaborated by IAU and three other organizations: Association of Universities and Colleges of Canada (AUCC), the American Council on Education (ACE) and the Council on Higher Education Accreditation (CHEA).
  - *Sharing Quality Higher Education across Borders – A checklist of good practice.*

### IAU SESSIONS ON RE-THINKING INTERNATIONALIZATION AT GOING GLOBAL 2012

In March 2012, the IAU coordinated a series of discussions and plenary sessions on the topic: **Rethinking Internationalization Who Benefits, Who is at Risk?**, at the British Council’s Going Global 2012 Conference in London, UK.

To frame the debate, an international panel outlined six broad questions about inter-



Working Group Members preparing their responses for the event at Going Global 2012

nationalization. Issues included: the clarity of the concept, its drivers, the place of student mobility and the global responsibility of higher education.

IAU, together with the British Council, convened six small Working Groups which focused on finding possible responses. The working group chairs from Kenya, Brazil, the USA, Hong Kong, Germany and Israel formed the closing international panel reporting to GG2012 participants and stimulating further discussion.

More information at: <http://ihe.britishcouncil.org/>

### INTERNATIONALIZATION STRATEGIES ADVISORY SERVICE (ISAS)

In the year under review, the IAU completed two ISAS projects with IAU Member Institutions:

- Mykolas Romeris University (MRU), Lithuania - [www.mruni.eu/en/](http://www.mruni.eu/en/)
- Moi University (MU), Kenya - [www.mu.ac.ke/en/](http://www.mu.ac.ke/en/)

The IAU Expert Panel site visits to the two institu-



The IAU Expert Panel at MRU

tions, and final reports with recommendations were completed in late 2011. The ISAS project with MU was made possible through partial funding that the IAU successfully secured through the UNESCO Participation Programme.

Both institutions gave positive feedback to the IAU regarding the ISAS project, and have put into practice many of the recommendations made by the IAU Expert Panels.

The IAU would like to express thanks to Prof. Alvydas Pumputis, President, MRU and Prof. Richard K. Mibey, Vice Chancellor, MU, and their colleagues for their participation and support through both ISAS projects.

During 2012, the IAU began a number of new ISAS projects, including with a University in Latin America. Several other institutions have expressed an interest in undertaking an ISAS project.

Should your institution be interested in learning more about the ISAS programme and how it could benefit your institution, please read the brochure, available through the IAU website: [www.iau-aiu.net/sites/all/files/ISAS\\_ENG.pdf](http://www.iau-aiu.net/sites/all/files/ISAS_ENG.pdf)

- Should you have comments or questions, or if your institution would like to endorse the Call for Action, please **contact**: Ross Hudson, IAU Programme Officer: [r.hudson@iau-aiu.net](mailto:r.hudson@iau-aiu.net)



The IAU Expert Panel and colleagues from MU during the site visit



## HIGHER EDUCATION AND SUSTAINABLE DEVELOPMENT

### HESD IN AFRICA

Following up on the report published jointly by IAU, the Global University Network for Innovation (GUNi) and the Association of African Universities (AAU) entitled **The Promotion of Sustainable Development by Higher Education Institutions in Sub-Saharan Africa**, the three partners worked on the creation of a **Handbook of Good Practices in Higher Education to promote Sustainable Development (SD)**. The partners worked on the development of a theoretical Framework; identified a series of HEIs to be invited to take part in the project; finalized the questionnaires, and drafted the various sections of the Handbook.

The project as well served to fuel the online data-base of good practices accessible from the websites of all three partners.



### IAU DEVELOPS A GLOBAL PORTAL ON HESD

The IAU developed an international portal on Higher Education and Sustainable Development (HESD). The Portal offers the IAU Member institutions and others the opportunity to network, exchange and learn about the range of HESD initiatives being developed around the globe. The test version of the portal can be accessed at [www.iau-hesd.net/en](http://www.iau-hesd.net/en). It was launched at the IAU 14<sup>th</sup> General Conference in November 2012.

Please send your comments to: [h.vantland@iau-aiu.net](mailto:h.vantland@iau-aiu.net).

### SPECIAL ISSUE OF IAU HORIZONS – THE CONTRIBUTION OF HIGHER EDUCATION TO SUSTAINABLE DEVELOPMENT

A summary of IAU's work on HESD, as well as papers presenting projects and initiatives

from around the world, are featured in the In-Focus section of **Vol.18 No.2** (June 2012) of the **IAU Horizons magazine** (see as well page 22 of this report). The archives of the magazine are available online at: [www.iau-aiu.net/content/iau-horizons](http://www.iau-aiu.net/content/iau-horizons).

**Contact:** Dr. Hilligje Van't Land, IAU Director, Membership and Programme Development: [h.vantland@iau-aiu.net](mailto:h.vantland@iau-aiu.net)

N.B. – The **IAU 2014 International Conference** will focus on the theme of *Higher Education promoting sustainable development*, and will pay special attention to the role and contribution of indigenous knowledge. The Conference will be hosted by the Universidad Científica del Perú, in Iquitos, Peru, in March 2014

## EQUITABLE ACCESS AND SUCCESS IN HIGHER EDUCATION

### IAU WINS THE 2012 ISIC AWARD

In May 2012, Eva Egron-Polak, Secretary General of IAU attended a special gala celebration in Miami (USA), to receive the prestigious **2012 ISIC Award: Recognizing and Rewarding Leaders in Education Accessibility.**

At the event, Mr van de Veen, General Manager of ISIC stated:

*"The International Association of Universities was a standout winner for the ISIC Association, particularly for their Equitable Access and Success in Quality Higher Education pilot project. This innovative project improves access to education for marginalised students at risk of under representation in the tertiary education system".*

The IAU was selected as the 2012 ISIC Award winner from among five admirable finalists which included the Institute of International Education, International Student Exchange Programs, Students in Free Enterprise and University of the People.

The Award includes a financial contribution of € 20,000 which IAU will use to continue its Equitable Access and Success projects.

To read more IAU's work on equitable access and success, please visit the IAU webpages at: [www.iau-aiu.net/content/access-and-success](http://www.iau-aiu.net/content/access-and-success)

**Contact:** Élodie Boisfer, IAU Programme Officer at: [e.boisfer@iau-aiu.net](mailto:e.boisfer@iau-aiu.net)







Eva Egron Polak, IAU Secretary General, receiving the 2012 ISIC Award

### About ISIC

The ISIC Association is the non-profit organization behind the International Student Identity Card (ISIC), the only internationally accepted proof of bona fide student status. First established in 1953, the ISIC card is now issued in 124 countries to over 4.5 million students each year, regardless of their nationality, race, gender or religion. ISIC cardholders gain preferential access to 40,000+ products, services or experiences that are relevant in every stage and area of student life. <http://www.isic.org/>

## VALUES AND ETHICS IN HIGHER EDUCATION

### IAU-MCO GUIDELINES FOR AN INSTITUTIONAL CODE OF ETHICS FOR HIGHER EDUCATION

The final meeting of the joint IAU and the Magna Charta Observatory Working Group, responsible for drafting the Guidelines for an Institutional Code of Ethics took place in Istanbul, Turkey on 17 - 18 May 2012. Members of the WG finalized a draft of these guidelines and developed an action plan for a) further consultations on the draft guidelines,

b) their full dissemination once approved and c) their implementation or use within interested higher education institutions. The consultation on this Final Draft took place in the summer, ending 1 October 2012 in time for the IAU General Conference in Puerto Rico. The Guidelines are available on the IAU website at:

[www.iau-aiu.net/sites/all/files/Ethics\\_Guidelines\\_Final\\_0.pdf](http://www.iau-aiu.net/sites/all/files/Ethics_Guidelines_Final_0.pdf)

**Contact:** Nicholas Poulton, Editorial Assistant: [n.poulton@iau-aiu.net](mailto:n.poulton@iau-aiu.net)

## INTERCULTURAL DIALOGUE

Following on from a conference organized in partnership with the Council of Europe, the US Steering Committee of the International Consortium for Higher Education, Civic Responsibility and Democracy and the IAU, which took place in June 2011, the following book on the same theme was edited:

- **Reimagining Democratic Societies: a new era of personal and social responsibility**, by Sjur Bergan, Ira Harkavy and Hilligje van't Land (eds.) (2013): Strasbourg, Council of Europe Publishing, Council of Europe Higher Education Series No.18, ISBN: 978-92-871-7537-3



The papers in the book make the case for why democratic reimagination and innovation cannot succeed without higher education and why higher education cannot fulfill its educational, academic and societal missions without working for the common good. Case studies provide examples of how higher education can contribute to reimagining and reinvigorating democracy. The book will be officially launched in February 2013 at the Opening Conference of the Andorra Chairmanship of the Council of Europe on the topic: *Competences for a Culture of Democracy and Intercultural Dialogue: A Political Challenge and Values*. Copies of the book will be sent to IAU Members in mid-2013.

**Special Membership Offer:** IAU Members in good standing can order a copy of the book by contacting Hilligje Van't Land, Director, Membership and Programme Development ([h.vantland@iau-aiu.net](mailto:h.vantland@iau-aiu.net)). A copy will be provided free of charge to the first one hundred applicants.



## SPECIAL OPPORTUNITIES AND INITIATIVES – BENEFITING FROM RENEWED SIDA SUPPORT

During the year under review IAU successfully completed activities under one grant from the Swedish International Development Cooperation Agency (Sida) and developed a full proposal for another four year period, ending in 2015. These grants provide invaluable support for a variety of activities in line with IAU and Sida missions.

### LEADHER PROGRAMME

Thanks to renewed funding, IAU opened the first competition of the LEADHER programme focusing on the reinforcement of the research function in universities in October 2011. The results for this competition were announced in February 2012, and two other competitions took place in the year under review, the results of which were

announced in July and November 2012. The LEADHER programme continues to have as its objective to increase and improve South-South as well as North-South cooperation among higher education institutions, while specifically targeting research capacity and research management.

Open only to IAU Members in Good Standing (no arrears in the payment of membership fees), a total of 13 project proposals were submitted during the year.

The Selection Committee selected a total of six projects which, are detailed in the table below.

**Contact:** Élodie Boisfer, IAU Programme Officer at: [e.boisfer@iau-aiu.net](mailto:e.boisfer@iau-aiu.net)

Institutions	Research Areas
<b>Competition results announced February 2012</b>	
<b>University of Essex</b> , United Kingdom <b>University of Botswana</b> , Botswana <b>University of Dar es Salaam</b> , Tanzania	Research training, in particular innovative doctoral programmes; Innovative approaches to research capacity building via cooperation; Research capacity building through internationalization
<b>University of Petroleum and Energy Studies</b> , India <b>University of Nairobi</b> , Kenya	Developing research mission, research policy and research development strategy; Research planning, organization and management
<b>University of Ghana</b> , Ghana <b>University of Oslo</b> , Norway	Developing research mission, research policy and research development strategy; Research planning, organization and management
<b>Competition results announced in July 2012</b>	
<b>Federal University of Agriculture Abeokuta</b> , Nigeria <b>University of Ghana, College of Agriculture &amp; Consumer SCI.</b> , Ghana	Innovative approaches to research capacity building via cooperation; Building linkages between the university research system and national/regional research systems (if applicable); Research capacity building through internationalization
<b>Daffodil International University</b> , Bangladesh <b>Universiti Sains Malaysia</b> , Malaysia	Research training, in particular innovative doctoral programmes; Innovative approaches to research capacity building via cooperation
<b>Competition results announce in November 2012</b>	
<b>Bahria University Islamabad</b> , Pakis <b>University of Salford</b> , United Kingdom	Developing research mission, research policy and research development strategy; Research planning, organization and management; Collection, maintenance and dissemination of research results; Research capacity building through internationalization



Photos of some of the LEDHER projects undertaken in the past year.

## HIGHER EDUCATION (HE)/RESEARCH FOR EDUCATION FOR ALL (EFA) - HEEFA

In 2011 IAU began a new 4-year project to advocate for better higher education involvement in support of the United Nations' Education for All (EFA) initiative. This project is a follow-up to the 2008-2010 IAU project to promote and enhance the role of higher education for EFA. It has been built on recommendations from the IAU Innovation Conference which took place at UNESCO in December 2010. It is partly funded by Swedish International Development Cooperation Agency (Sida) with contributions from other sources as well.

This project is organized in three sub-areas as follows:

### COMMUNITY BUILDING: Reference Group

The 2008-2010 IAU Reference Group (RG) on HEEFA has been expanded to include new perspectives and a broader and more gender-balanced representation, with particular attention given to experts from non-OECD countries and Africa. The IAU welcomed 14 new Members (5 from Africa; 3 from the Americas; 3 from Asia; and 3 from Europe).

The RG Members' responsibilities include:

- Advising on and contributing to the development of the activities defined within the IAU Project;

- Assisting in communicating products and results;
- Sharing expertise, technical materials and work in a collaborative manner; promoting the importance of higher education for EFA internally within the higher education institution/ organization as well as externally with partners and networks.

### HEEFA Portal and Newsletter

The RG was actively engaged in the testing and improvement of the HEEFA Portal and Newsletter. Helped by comments from the RG, the IAU HEEFA team undertook an analysis of these community building tools and came up with a list of improvements that have been implemented.

The HEEFA Portal is an entry point for the higher education community to provide visibility for its EFA involvement, raise awareness and promote higher education engagement within sector itself and among all stakeholders in EFA and related MDGs. It is composed of three databases: Experts; Projects; and Theses. It is a collaborative and user friendly portal that is accessible to all.

The HEEFA Newsletter comprises information of the IAU HEEFA Project and on activities undertaken by IAU RG's Members as well as selected recent international, regional and



national HE-related trends in EFA. It includes selected calls for participation, upcoming conferences and publications. Subscription to the HEEFA Newsletter is free of charge and available to all on the HEEFA Portal.

The portal and newsletter are available at [www.heefa.net](http://www.heefa.net).

### CAPACITY BUILDING:

#### Three-Step Activity to Envision HE for EFA Locally

Following upon two pilot sessions organized in 2011 in Burkina Faso and Mexico, the IAU Workshop for EFA: A Three-step activity to envision HE for EFA locally, was finalized and validated by the IAU HEEFA Reference Group.

The Workshop aims to:

- i) Inform both the higher education community about EFA and the other EFA stakeholders about what higher education can bring to EFA,
- ii) Identify local needs and higher education's possible intervention(s), and
- iii) End with a common agreed upon document for a way forward.

Each session comprises a pre- and a post-workshop activity – hence the three-steps - as follows:

- The pre-workshop activity is for data collection: each identified participant is to reflect on the links between higher education and EFA in responding to a questionnaire developed by the IAU; results will be used at the Workshop to launch discussions;
- Post-workshop communication and follow-up and comprises the dissemination of the Workshop's outcomes and follow-up activities such as looking at the impact of the session with the local organizers/partners.

A call for hosting a Workshop was launched, and Kenya (University of Nairobi) and Nepal (Tribhuvan University) asked and were selected to host a session. Workshops were scheduled for December 2012 in Nepal and January 2013 in Kenya.

### COMMITMENT BUILDING:

#### Advocacy

As part of the transition process towards the reformed EFA global coordination architecture, UNESCO established a small ad-hoc group of the Collective Consultation of NGOs

on EFA (CCNGO/EFA) and invited Isabelle Turmaine, Director of IAU Information Centre and Communication Services on behalf of IAU to join the group which comprised only a few regional and international civil society networks and organizations and whose main role was the organization of UNESCO Collective Consultation of NGOs on EFA which was to take place at UNESCO in October 2012.

IAU was involved in the organization of the 2012 NGO-UNESCO Seminar on Early Childhood: Seeds for the Future which took place at UNESCO Headquarters, Paris, France on 23 April 2012.

Isabelle Turmaine, was invited to present the IAU HE for EFA project at the CIMO (the Finnish Centre for International Mobility) Conference on The Role of Higher Education in Capacity Building in Developing Countries, held in May 2012 in Helsinki, Finland.

**Contact:** Nadja Kymlicka ([n.kymlicka@iau-aiu.net](mailto:n.kymlicka@iau-aiu.net)) and Isabelle Turmaine ([i.turmaine@iau-aiu.net](mailto:i.turmaine@iau-aiu.net))

## CHANGING NATURE OF DOCTORAL PROGRAMMES IN SUB SAHARAN AFRICA

Building on Phase 1 of this IAU project Sida has renewed its grant which covers a number of different aspects, as listed below:

### Information Dissemination and New Partnerships

Using the results of Phase 1, IAU took part in several seminars and conferences to present the outcomes and recommendations and future IAU plans. This has led to new cooperation opportunities with organisations like the European University Association (EUA) and in particular their EAU-CDE project that is taking place with the Southern African Regional University Association (SARUA), the African Doctoral Academy (ADA) the African Association of Universities (AAU), the Association of Catalan Public Universities (ACUP), and the OIHE project on Doctoral Education in Latin America.

### Creation of an Interactive Portal on Doctoral Education

In partnership with ACUP, the IAU began to develop a web-based interactive portal on the topic, named **IDEA-PhD**. It aims to provide sub-Saharan African Higher Education institutions, and those interested in higher education in the region with:





i) An internet-based networking platform

ii) An information and dissemination platform where relevant documents, tools, data will be stored for general use.

ACUP and IAU also partnered with the Open University of Catalonia (UoC), a Member of both IAU and ACUP, in the development of the portal. User survey questionnaires were developed and served to inform the development of the portal's content and structure.

The **Test version** of the portal can be accessed online at [www.idea-phd.net](http://www.idea-phd.net).

Comments or suggestions as well as data and information (publications, research results and more) on Doctoral Programmes that could be considered for publication on the portal, should be sent to: [iau@iau-aiu.net](mailto:iau@iau-aiu.net)

### Capacity Building Seminars

In July 2012, IAU and ACUP jointly organized an international seminar on **Innovative Approaches to Doctoral Education and Research Training in sub-Saharan Africa**, in Addis Ababa, Ethiopia. It brought together the pilot institutions of the projects led by both IAU and ACUP in this field, along with a selection of other key stakeholders. The Seminar reinforced inter-institutional networking and helped to consolidate and/or



The IAU and ACUP Seminar in Addis Ababa

create new partnerships among African colleagues and institutions. It also allowed the IAU and ACUP to gather invaluable data for publication on the IDEA – PhD portal. The report and conclusions of the Seminar have been published online in both English and French at: [www.iau-aiu.net/content/activities-0](http://www.iau-aiu.net/content/activities-0) and on the portal: [www.idea-phd.net](http://www.idea-phd.net)

### LEADHER Grants Programme - Focusing in Part on Projects Relating to Doctoral Education

The allocation of two LEADHER grants annually for projects aiming at strengthening doctoral programmes and research capacity in higher education in sub-Saharan Africa was launched in March 2012. The University of Essex partnered with the University of Botswana and the University of Dar Es Salaam to focus on 'Research Training' to foster innovative approaches to research capacity building' in all three universities; the University of Petroleum and Energy Studies (India) developed a project with the University of Nairobi (Kenya) on 'Developing research mission, research policy and research development strategy and Research planning, organization and management'; and the University of Ghana (Ghana) developed a partnership the University of Oslo (Norway) on 'Developing research mission, research policy and research development strategy; Research planning, organization and management'. In 2013 a new series of LEADHER grants will be set aside to focus on partnerships aiming at improving doctoral education.

**Contact:** Dr. Hilligje Van't Land, IAU Director Membership and Programme Development [h.vantland@iau-aiu.net](mailto:h.vantland@iau-aiu.net). For LEADHER programmes, contact Elodie Boisfer: [e.boisfer@iau-aiu.net](mailto:e.boisfer@iau-aiu.net)

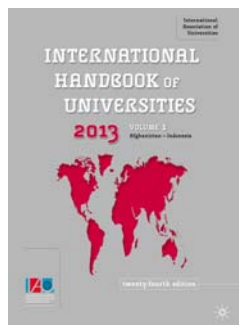


Colleagues from the University of Oslo and the University of Ghana meeting during the LEADHER programmes



## IAU REFERENCE PUBLICATIONS

### 2013 *International Handbook of Universities (IHU)* - and *WHED Online*

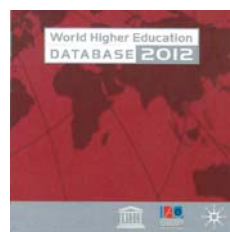


This edition of the *International Handbook of Universities (IHU)*, one of the IAU flagship reference publications, provides detailed information on over 17,000 higher education institutions that offer at least a post-graduate degree or a four-year professional diploma, and descriptions of the higher education system in over 180 countries. All information contained in the Handbook is validated at the national and institutional levels. It is available in both print and online versions, and buying the Handbook includes a single-user access to *World Higher Education Database (WHED) Online* for 12 months following publication.

The updates for this edition, released in September 2012, focused on Europe.

The IAU has also turned to the next edition with a focus on Africa, as the *Guide to Higher Education in Africa (GHEA)*, another IAU Reference Publication prepared in collaboration with the African Association of Universities (AAU) is now under preparation. The GHEA is released once every three years.

For more information on the 2013 IHU, see: [www.iau-aiu.net/content/reference-publications](http://www.iau-aiu.net/content/reference-publications)  
To order the publication, go to: [www.palgrave.com/products/title.aspx?pid=324045](http://www.palgrave.com/products/title.aspx?pid=324045) (IAU members benefit from a 50% discount)



### *World Higher Education Database (WHED) 2012*

The WHED is the most comprehensive reference CD-ROM available in the field of higher education worldwide. This edition, released in March 2012, comprises detailed information on over 17,000 higher education institutions and the higher education system and credentials of 183 countries. It is available in single user and network versions.

- **IAU Members receive a copy of the WHED CD-ROM free of charge.**

To order a copy or more copies, go to: [www.palgrave.com/products/title.aspx?pid=544631](http://www.palgrave.com/products/title.aspx?pid=544631)

**Contact:** Béatrice Inglisian, Manager, Reference Publications ([b.inglisian@iau-aiu.net](mailto:b.inglisian@iau-aiu.net))

or Isabelle Turmaine, Director, Information Centre and Communication Services

([i.turmaine@iau-aiu.net](mailto:i.turmaine@iau-aiu.net))

## HIGHER EDUCATION POLICY (HEP) JOURNAL

IAU was pleased to inform the Editorial Board and potential authors that *Higher Education Policy* has been selected for coverage in Thomson Reuter's products and services. HEP will now be indexed and abstracted in the Social Sciences Citation Index, Journal Citation Reports/ Social Sciences Edition, and Current Contents/Social and Behavioural Sciences

### HEP - 24/4 – December 2011

The last edition in this volume features, amongst others, five papers looking at sustainability issues in higher education.

### HEP 25/1 – March 2012

A special edition released on the 25<sup>th</sup> Anniversary of HEP. It includes papers on a number of issues including academic corruption, the European Court of Justice, the transformation of Ireland's institutes of technology, and the marketization of Italian Higher Education.

### HEP 25/2 – June 2012

A special thematic edition including several papers on the subject of *Transnational Education and Student Mobility in Asia*.

### HEP 25/3 – September 2012

A thematic edition entitled: *Collaboration and Competition in Research*

Articles appearing in *Higher Education Policy* are now available to subscribers to view online in their definitive versions before they appear in print format. These papers, which are fully typeset, paginated, and copy-edited, are, more importantly, citable. The articles are made available utilising our publisher, Palgrave Macmillan's, Advance Online Publication (AOP) system.

Please see [www.Palgrave-journals.com/hep/journal/vaop/ncurrent/index.html](http://www.Palgrave-journals.com/hep/journal/vaop/ncurrent/index.html).

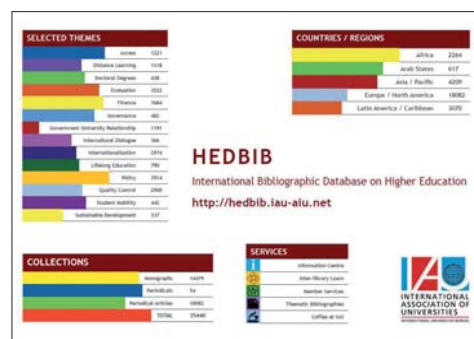
**Contact:** Nicholas Poulton, Administrative/Editorial Assistant: [n.poulton@iau-aiu.net](mailto:n.poulton@iau-aiu.net)



## INTERNATIONAL BIBLIOGRAPHIC DATABASE ON HIGHER EDUCATION (HEDBIB)

HEDBIB, the International Bibliographic Database on Higher Education (<http://hedbib.iau-aiu.net>), is a reference database on higher education systems, administration, planning, policy, and evaluation created and maintained by the IAU since 1988. It now comprises over 37,000 records. HEDBIB is available free of charge to all, with some features, such as accessing abstracts or sending of references by e-mail, made available only to IAU Members.

In 2012, a call for participation was sent to IAU Member Organizations to become contributing partners of HEDBIB and add their publications to the database. The Agence universitaire de la Francophonie (AUF), Associació Catalana d'Universitats Públiques (ACUP), Higher Education South Africa (HESA), Southern African Regional Universities Association (SARUA), Union de Universidades de América Latina (UDUAL) have become contributing partners.



IAU also produces **New in HEDBIB** five times a year. This contains full references of newly entered publications in HEDBIB. References are structured according to the IAU thematic areas. Links to electronic publications are included where available.

**New in HEDBIB** is sent directly to IAU Members as part of the Membership benefits. It is also posted on the HEDBIB web page.

Contact: Amanda Sudic, Librarian/Documentalist ([a.sudic@iau-aiu.net](mailto:a.sudic@iau-aiu.net)) or Isabelle Turmaine, Director, Information Centre and Communication Services ([i.turmaine@iau-aiu.net](mailto:i.turmaine@iau-aiu.net))

## NEW IAU PROJECT: Academic Librarians and Open Educational Resources (OER)

Numerous and diverse OER exist worldwide, yet they seem to have an impact only in some regions of the world and mostly in Anglophone or developed countries. IAU would like to address how they could be a solution to support the knowledge society everywhere. OER are created to be used, adapted and re-used by all and to hence disseminate knowledge across borders. This project will focus on the involvement of the academic librarians in raising awareness on the potential of OER.

A call for interest in the project was published by IAU in February 2012, and several IAU Member institutions responded positively: Mykolas Romeris University, Lithuania; Birzeit University, Palestine; Open University of Catalonia, Spain; Sumy State University, Ukraine and the United Nations University.

The IAU proposal to UNESCO's Participation Programme 2012-2013 to hold an initial workshop was approved for funding with support from the Delegations of Egypt, Ghana and Kenya.

**Contact:** Amanda Sudic, Librarian/Documentalist ([a.sudic@iau-aiu.net](mailto:a.sudic@iau-aiu.net)) or Isabelle Turmaine, Director, Information Centre and Communication Services ([i.turmaine@iau-aiu.net](mailto:i.turmaine@iau-aiu.net))

## OTHER IAU PUBLICATIONS

### Equitable Chances: the IAU's Actions to Promote Access and Success in Higher Education

This booklet was produced and introduced on the occasion of the IAU 2011 International Conference in Kenya, and was disseminated to all the IAU Members in February 2012. It presents the actions and outcomes of the Associations has work to date in regards to the twin issues of equitable access and success in higher education.



## IAU Horizons

Published three times a year, in both English and French, IAU Horizons provides its readers with a summary of current and upcoming IAU activities and special projects; information on IAU publications and details of other new publications received at IAU and catalogued in HEDBIB; a profile of IAU's participation in international conferences and meetings; and a Global Calendar of Events.

In addition, each issue includes a special '*In-Focus*' section, where high profile academics from across the world present their projects, research and/ or view points on a particular contemporary theme in higher education.

The magazine is distributed to all IAU Members and contacts, and is distributed widely amongst the higher education community. In addition to the print format, it is also available electronically through the IAU website.

In the year under review, the two magazines were produced were both extended issues, as described below, with *In-Focus* sections focused on themes currently central to IAU's work and activities. Each In Focus section, now also includes a selected bibliography of key books and articles related to the topic.

- For more information on IAU Horizons, and to access past issues, please go to : [www.iau-aiu.net/content/iau-horizons](http://www.iau-aiu.net/content/iau-horizons)

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## Re-thinking internationalization - (Vol. 17, No.3 and Vol. 18, No.1) February / March 2012

The *In - Focus* section of this double issue centred on the subject of Re-thinking Internationalization and included 16 papers written by 19 internationalization experts from 11 countries. The issue also includes a report on the IAU 2011 International Conference in Nairobi, Kenya; a report on IAU Projects and Activities including the Re-thinking Internationalization initiative and ISAS Programme, the HEEFA Project and the new phase of the Doctoral Programme Project, amongst others, as well as all the other regular sections.

To view the electronic version, please go to:  
[www.iau-aiu.net/sites/all/files/IAU\\_Horizons\\_Vol17\\_3\\_18\\_1\\_EN.pdf](http://www.iau-aiu.net/sites/all/files/IAU_Horizons_Vol17_3_18_1_EN.pdf)

## The Contribution of Higher Education to Sustainable Development (Vol. 18, No.2) June 2012

The *In-Focus* section of this issue was on the contribution that higher education makes to advancing sustainable development and included 27 papers written by 37 experts in the field from 22 countries. The In Focus section was split into eight categories relevant to the topic, namely:

- University Networks & Policy Advocacy for Sustainability
- Leadership, Management & Institutional Development
- Education, Curriculum & Professional Development
- Research
- Business and Community Outreach
- Campus Greening
- Student Engagement
- Indicators for Progressing Sustainable Development across the University Sector



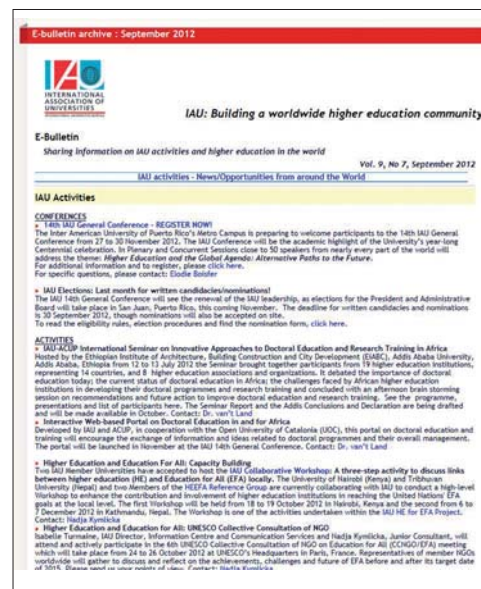
As well as all the regular sections, this issue also includes information on the IAU 14th General Conference; a report on IAU Projects, including the IAU Call for Action on Affirming Academic Values in Internationalization of Higher Education, as well as the HEEFA and Doctoral Programmes in Sub-Saharan Africa projects; a special report on IAU's involvement in the EHEA Ministerial Conference and Third Bologna Policy Forum, and details of the Rio + 20 Higher Education for Sustainability Treaty.

The electronic version is available online at:

[www.iau-aiu.net/sites/all/files/IAU\\_Horizons\\_Vol\\_18\\_N\\_2\\_EN.pdf](http://www.iau-aiu.net/sites/all/files/IAU_Horizons_Vol_18_N_2_EN.pdf)



## IAU E-BULLETIN : Sharing information on IAU activities and higher education in the world



The IAU E-Bulletin offers information on IAU's work and activities, and briefly introduces in short texts with keywords and URL links news on higher education reforms and policies worldwide, at the international level, by region and country. It is offered free of charge, in English and French.

It was published ten times this year with no August and January editions as usual.

The E-Bulletin was sent automatically to all IAU Members as well as to anyone interested in subscribing subscription. It has now more than 3,000 subscribers.

Part of the information comprised in the e-Bulletin also contributes to IAU's databases: HEDBIB (International Bibliographic Database on Higher Education) and WHED (World Higher Education Database).

**Contact:** Christina Keyes and Samuel Pousson ([k.keyes@iau-aiu.net](mailto:k.keyes@iau-aiu.net); [s.pousson@iau-aiu.net](mailto:s.pousson@iau-aiu.net)), Assistants Reference Publications or Béatrice Inglisian, Manager, Reference Publications ([b.inglisian@iau-aiu.net](mailto:b.inglisian@iau-aiu.net)), or Isabelle Turmaine, Director, Information Centre and Communication Services ([i.turmaine@iau-aiu.net](mailto:i.turmaine@iau-aiu.net))

The IAU cannot implement its activities without working in partnership with its Member institutions, organization, Affiliates and other groups. It calls on its partners for expertise, support and for dissemination of information. In return the IAU also serves on a large number of Advisory Committees, Boards, and/or Working Groups representing the interests of the IAU membership in discussions of diverse topics of importance to higher education.

The full list of all the partnerships in which the IAU is involved in is too long to present. However the following serves to at least highlight some of the major organisations with which IAU has collaborated

- United Nations Educational, Scientific and Cultural Organization (UNESCO); Organisation for Economic Cooperation and Development (OECD); Magna Charta Observatory; European University Association (EUA); United Nations Environment Programme (UNEP); Institute of International Education (IIE); Asia-Europe Foundation (ASEF); Southern African Regional Universities Association (SARUA); Observatory on Borderless HE; Consortium for North American Higher Education Reform (CONAHEC); African Network for Internationalization of Education (ANIE); European Commission (EC); Conference of the Americas on International Education (CAIE); Global University Network for Innovation (GUNI); European Higher Education Area (EHEA); Association of African Universities (AAU); Council of Europe (CoE); British Council; Academic Cooperation Association (ACA); International Social Science Council (ISSC); World Bank; Lumina Foundation; NAFSA; European Association of International Education (EAIE)



Some of the organizational partners that IAU has worked with during the past year.

## IAU REPRESENTATION AT CONFERENCES AND MEETINGS

IAU Secretariat staff, President and Administrative Board Members are regularly called upon to give presentations at international conferences, serve as panelists, organize sessions, participate in workshops etc.

In the year under review the IAU has taken part in more than 50 such events in all regions of the world, on a wide range of themes. Brief descriptions of IAU's attendance and role at all of these meetings are regularly included in the IAU e-bulletin. The following is a selection of just some of the meetings in which IAU took an active role this year:

First International Seminar on Rankings in Higher Education and e-Learning, <a href="http://www.uoc.edu/symposia/academic_rankings/introduccio_eng.html">www.uoc.edu/symposia/academic_rankings/introduccio_eng.html</a>	Barcelona, Spain
OBHE - Adapting to Disruptive Times: Emerging Models for HE Provision <a href="http://www.obhe.ac.uk/">www.obhe.ac.uk/</a>	London, UK
Global Higher Education Forum 2011 <a href="http://www.gheforum.usm.my">www.gheforum.usm.my</a>	Penang, Malaysia
Salzburg Global Seminar – Sustainable Futures Academy Meeting <a href="http://salzburgglobal.org/wp-sfa/">http://salzburgglobal.org/wp-sfa/</a>	Salzburg, Austria
ADEA Conference: Towards Education and Training Systems at the Service of African Sustainable Development <a href="http://www.adeanet.org/adeaPortal/">www.adeanet.org/adeaPortal/</a>	Ouagadougou Burkina Faso
AIEA 2012 Conference: Building a Secure World through International Education <a href="http://www.aieaworld.org/">www.aieaworld.org/</a>	Washington D.C., USA
Going Global 2012 - IAU Sessions on: Re-thinking Internationalization – Who Benefits and Who is at Risk <a href="http://ihe.britishcouncil.org/going-global">http://ihe.britishcouncil.org/going-global</a>	London, UK
SARUA - CODOC Workshop on: Doctoral Education, Leadership and Knowledge Societies: Redefining Global Relationships <a href="http://www.codoc-project.eu/">www.codoc-project.eu/</a>	Johannesburg, South Africa
The Guardian: "UK HE challenges, competition & opportunities for a changing sector" <a href="http://www.guardian.co.uk/">www.guardian.co.uk/</a>	London, UK
ACA Seminar: "Internationalisation audits. Assessing and improving institutional strategies" <a href="http://www.aca-secretariat.be/">www.aca-secretariat.be/</a>	Brussels, Belgium
CAIE 2012: Internationalization: Essential Building Block to Quality in 21 <sup>st</sup> Century Education <a href="http://caie-caei.org">http://caie-caei.org</a>	Rio de Janeiro, Brazil
NAFSA 2012 Annual Conference and Expo <a href="http://www.nafsa.org/annualconference/default.aspx">www.nafsa.org/annualconference/default.aspx</a>	Houston, Texas
European Higher Education Area (EHEA) Ministerial Conference and Third Bologna Policy Forum <a href="http://www.ehea.info/">www.ehea.info/</a>	Rome, Italy
UNESCO Collective Consultation of NGOs on Education for All (CCNGO/EFA) <a href="http://www.unesco.org/en/efa/international-cooperation/collective-consultation-of-ngos/">www.unesco.org/en/efa/international-cooperation/collective-consultation-of-ngos/</a>	Paris, France
Conference of the Americas on International Education (CAEI) <a href="http://www.caie-caei.org/">www.caie-caei.org/</a>	Rio de Janeiro, Brazil
Steering Committee for Educational Policy and Practice (CDPPE), Council of Europe <a href="http://www.coe.int">www.coe.int</a>	Strasbourg, France



Photos of some of the meeting in which IAU took and active role during the past year.



## FINANCIAL YEAR 2011 – 2012

FINANCIAL REPORT (1 OCT. 2011 to 30 SEPT. 2012)  
(with comparative totals for 2010-11)  
in EUROS

<b>INCOME</b>	<b>2012</b>	<b>2011</b>
Membership dues	950,462	919,954
Contracts and grants	320,549	328,635
Conferences and Meeting	29,350	15,800
LEADHER Programme	65,000	0
Publications	91,425	105,772
Other income	164,866	123,928
<b>TOTAL INCOME</b>	<b>1,621,652</b>	<b>1,494,089</b>
<b>EXPENDITURE</b>	<b>2012</b>	<b>2011</b>
Staff Costs (Salaries, Consultants and Social Charges)	954,821	910,975
Programme Activities and Conferences	323,020	261,248
Administrative Board and Committees	40,000	40,000
Administration and Office Costs	145,057	143,934
Other charges	687	25,714
<b>TOTAL EXPENDITURE</b>	<b>1,463,665</b>	<b>1,381,871</b>
<b>RESULT</b>	<b>157,987</b>	<b>112,218</b>

### Explanatory note of financial results

In general IAU total revenue and expenditure has remained stable for the financial year 2011 - 2012. IAU ended the year with a surplus due to continued maintenance of membership fees for the year 2012 as well as for previous years.

The income from contracts and grants remains more or less stable as well and IAU has continued to build its reserve which stands at 330,000 Euros at the end of 2012 .

Expenditure remains more or less stable, due to careful management and no expansion of personnel.





# COMING UP IN 2013 AND 2014

## April 2013

**The International Association of Universities  
&  
The Northern Consortium of UK Universities  
co-organise**

**IAU 5<sup>th</sup> Global Meeting of Associations (GMA V)**  
**Institutional Diversity in Higher Education:  
Advantage or Threat for Associations?**



*Photography by Len Grant*

**Dates:** 24 - 26 April 2013  
**Venue:** The Lowry, Salford Quays,  
Manchester (UK)  
**Contact:** iau@iau-aiu.net

**Participants**  
IAU Global Meetings of Associations are held once every two years. They are organized exclusively for leaders of national, regional and international associations/networks of HEIs. The GMAs offer a unique opportunity for representatives of a variety of university associations to come together to share their expertise, learn from each other and generally network around shared issues of interest.

**Theme**  
This 5<sup>th</sup> edition of the GMA focuses on the impacts of diversification of higher education today. Participants will discuss whether and how diversity and diversification – in response to the diversification of demand on the HE sector by student, by government, by society in general – impact on the functioning of and the work and programmes of associations/networks around the globe.

Association leaders will be asked to assess whether diversity of all kinds is perceived as an opportunity or a threat or a combination of both. They will compare notes on how associations and organisations develop their strategies to meet these challenges.

**Three main topics will serve to frame the discussion:**

1. Institutional Diversity and Excellence
2. Institutional Diversity: a Tool for Collaboration in International Competition
3. Developing Diversity and Distinctiveness: a Response to Downsizing and/or Expansion.

*A preliminary version of the programme is available on p. 2 of this issue.  
For regular updates, including practical information and to access the registration form, visit [www.iau-aiu.net](http://www.iau-aiu.net)*

**Don't hesitate any longer, register now and join us in Manchester!**





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### VENUE

Two miles from Manchester and set in the magnificent waterside location of Salford Quays. The Lowry is an architectural flagship that offers an outstanding conference location. Rising from the regenerated dockland site which now includes Media-CityUK, the UK's new hub for media and digital technology industries, the award-winning venue provides a unique setting that is easily accessible by road, rail and from Manchester International Airport.

## March 2014

**IAU 2014 International Conference:  
Blending Higher Education and Traditional Knowledge  
for Sustainable Development**  
Universidad Científica del Peru (UCP), Iquitos, Peru



UCP and some of its students, that will host the IAU 2014 International Conference



## IAU SECRETARIAT AND INTERNATIONAL UNIVERSITIES BUREAU

### Comings and Goings:

In the past year **Geneviève Rabreau**, Manager of Reference Publications, retired after over 20 years of much valued service at IAU. We thank her for her work and wish her well. She has been succeeded by **Béatrice Inglisian**, who took up the post on 1 June 2012.

**Isabelle Devylder**, Programme Officer, left IAU to take up a new post in Cambodia with UNDP, and has been succeeded by **Elodie Boisfer**, who as a Programme Officer is responsible for IAU's LEADHER programme, as well as continuing to work with the Secretary General on projects related to improving equitable access and success in Higher Education, one of IAU priority themes. She also coordinates the logistical preparations for all IAU conferences and meetings. We wish both Isabelle and Elodie every success in their new posts.

**Trine Jensen** joined IAU in April 2012 as the new Executive Assistant. She works closely with the Secretary General and the Director of Membership and Programme Development on all liaisons with IAU Members, as well as coordinating the IAU Administrative Board and Executive Committee meetings. In addition, together with the Director of Membership and Programme Development, she will contribute to all Membership campaigns. The IAU is also benefiting from having two interns: **Thibaut Mittelstaedt**, to work on the development of a web portal on sustainable development; and **Maren Larsen**, to work on the doctoral programmes project.

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